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# Contractor Profiles

Audit and Financial Advisory Services  
(CUA AFA2018)

**Category A – Audit Services**



## TABLE OF CONTENTS

2020 Global Business Consultants .....	3
A&P Advisory.....	4
Andrew Burchfield Consulting .....	5
AOT Consulting Pty Ltd .....	6
Assurance Advisory Group Pty Ltd .....	8
Australian Audit Pty Ltd.....	10
BDO.....	11
Blue Zoo .....	13
Braxford Consultancy Pty Ltd.....	14
Crowe .....	16
Deloitte Touche Tohmatsu .....	18
Dry Kirkness .....	20
Equal Assurance Pty Ltd.....	22
Ernst & Young .....	23
GHD Advisory.....	25
KPMG.....	26
McGrathNicol Advisory .....	28
Moore Australia (WA) Pty Ltd.....	29
Paxon Group .....	32
Protiviti.....	34
Quantum Consulting Australia .....	36
Quantum Management Consulting & Assurance.....	37
RSM Australia Pty Ltd.....	39
Stantons .....	40
The Nexus Network .....	42
Ubique Partners.....	44
William Buck.....	46

## 2020 Global Business Consultants



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### About Us

2020 Global is a multi-disciplinary consultancy practice that maintains high levels of client focus and business ethics. As consultants we ask the right questions, find the answers and can implement solutions across organisations. 2020 Global provides a whole of business service, from analysis to solutions and support and has an experienced business consultancy team that is knowledgeable, curious, energetic and solution-driven.

*We assess, we advise, we do.<sup>TM</sup>*

### Skills, Experience and Services

The team at 2020 Global has the knowledge and experience in both internal and external audit services: We have provided the following services to State Government agencies:

- Internal audits –Compliance, Performance, Operational, and Information Systems Controls.
- External audits of financial statements.
- Assurance Reports (agreed upon procedures).
- Project acquittals.
- Funding Certifications.

### Industry Certifications and/or Qualifications Relevant to the Category

Certified Practicing Accountants, Chartered Accountants, Certified Internal Auditors, Certified Fraud Examiners, Certified Risk Management Advisers, Chartered Taxation Adviser, Registered Tax Agents.

### Industry Partners or Affiliations

- Institute of Internal Auditors
- Institute of Chartered Accountants – ANZ
- CPA Australia
- Association of Certified Fraud Examiners
- The Tax Institute

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## About Us

A&P Advisory is a company focused on the provision of internal audit, risk management, assurance and governance services. We operate from Perth and have a flexible resourcing model that allows personnel to be deployed in Perth and Regional WA.

We are passionate, dedicated professionals that focus on delivery. Our staff have worked in leadership positions in professional services firms and industry and understand how to deliver meaningful, relevant and insightful risk advisory services.

## Skills, Experience and Services

**Skills and experience:** Our delivery team has extensive local and international audit experience, focused on the government, not for profit and resources sectors. This translates into high quality service, capable stakeholder management and the provision of a broad range of insights. We are confident dealing with all levels of an organisation and believe that the value of audit services is maximised when it is undertaken by well qualified, experienced personnel who can build trust and credibility with management. Our senior staff are directly responsible for the provision of services.

**Services:** We specialise in the delivery of internal audit services (strategic, operational, financial and compliance-focused), preparation and execution of annual audit plans, data analytics to support reviews, governance reviews, system reviews (including implementation) and internal audit training / functional improvement.

## Industry Certifications and/or Qualifications relevant to the category

Fellow of the Governance Institute (FGIA), Certified Internal Auditor (CIA), Chartered Accountant (CA), Certified Risk Management Assurance (CRMA), Certified Fraud Examiner (CFE), Fellow of the ICAEW Associated Chartered Accountant (ACA).

## Andrew Burchfield Consulting



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### About Us

I offer the full range of audit services including compliance, operational (performance), and accreditation audits. I can also perform peer reviews of internal audit areas and assist in the drafting of risk based Internal Audit Plans.

### Skills, Experience and Services

I provide quality, experience and a practical approach that represents value for money. I also have a proven track record of meeting deadlines and not invoicing for amounts in excess of what I have quoted.

I have been head of Risk Management, Internal Audit and Governance areas in the Commercial and all Government Sectors. I have been a successful consultant for 5 years in these areas.

I have presented professional development course and made conference presentations on audit, risk and governance for over 20 years.

### Industry Certifications and/or Qualifications Relevant to the Category

I am a Fellow of the Institute of Internal Auditors (IIA) and of the Society of Certified Practising Accountants (CPA) and a member of the Risk Management Institution of Australasia (RMIA). I am a former Councillor of both the IIA and the RMIA and a past member of their Australian Committees on Professional Standards and Professional Development. I have the post graduate global qualification of "Certified Internal Auditor" with the IIA.

I am currently the independent member of the Audit and Risk Management Committees of the City of Swan and the Southern Metropolitan Regional Council and a member of the Risk and Governance Committee of Edmund Rice Camps WA.

### Industry Partners or Affiliations

I am a sole practitioner. I have no industry partners or affiliates as I prefer to give my clients the certainty that if they accept my quote they will solely obtain my services and not that of less experienced employees or sub-contractors.

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## About Us

AOT Consulting Pty Ltd is a professional services firm established in 1989 to provide independent commercial, financial, audit, risk, management and technology consulting services.

As an independent professional services firm, we have specifically designed our professional services to assist clients at different stages of an initiative's lifecycle, from conceptual and strategic planning stages through to the implementation of initiatives and beyond. Our independent advice allows the outcomes to be defined based on the business requirements, giving a totally unbiased service.

Since commencing business we have undertaken over 3,000 consulting projects, most of which have been for government agencies.

The size of the firm is designed around a philosophy that its size enables a unique capability whereby we can combine commercial, financial, audit, risk, management and technology, skills, knowledge, expertise and experience as a result of working in many areas. AOT Consulting can therefore provide an agile service, specifically designed for each audit without needing a disproportionate level of resource-power.

Based upon client feedback on the audit services provided, a key distinction with the audit services we provide is the approach to stakeholder engagement and communications, which is predicated on a framework we have developed for all types of engagements.

Drawing upon key principles of stakeholder engagement and our guiding principles, our approach to engaging with parties being audited is not adversarial. Whilst nothing will deter us from obtaining the required information, our approach to obtaining information can be highly beneficial. We have also received positive feedback from parties that have been subject to our audit work when presenting the findings to all parties, even when the findings have not fallen in their favour. This again is a product of the approach taken to engage with parties.

We bring a highly professional and commercial approach to audit services.

## Skills, Experience and Services

The professional services AOT Consulting provides are designed to undertake audits that align to all or selected stages of an initiative's lifecycle.

The services provided ensures that independent, objective assurance and consulting activities are designed to add value and improve an organisation's operations.

The audit services assist clients accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes.

A key focus of AOT Consulting's audit services over the past years has been performance (operational) audits, compliance audits, and corporate governance reviews. However, these audits also include financial audits where applicable to the scope of the audit.

For audit work, we comply with the Institute of Internal Auditors' Professional Practice Framework (IIA PPF). Audit work also forms part of broader evidence-based reviews and evaluations whereby examining governance, operations, processes, records and the like has been intrinsic to the service provided.

AOT Consulting has developed methodologies for its professional consulting services. Its Audit Methodology complies with the Standards for the Professional Practice of Internal Auditing issued by The Institute of Internal Auditors.

We have undertaken several audits for government agencies and received positive feedback on the quality, timeliness and engagement approach to ensure that audits are conducted professionally, efficiently and effectively.

## **Industry Certifications and/or Qualifications Relevant to the Category**

AOT Consulting holds the following members and qualifications relevant to audit services.

- Association of Certified Chartered Accountants (ACCA).
- Memberships in Institute of Internal Auditors (IIA).
- Memberships in Risk Management Institution of Australasia (RMIA).
- Memberships in Australasian Evaluation Society (AES).
- Memberships in Chartered Institute of Procurement and Supply (CIPS).
- International Association of Facilitators (IAF).
- ISO9001 Accredited (BVQi) .

Consultants hold a combination of BSc (Hons) Degree in Applied Accountancy; Masters of Commerce (International Business); Bachelor of Liberal Studies (Sociology and Psychology); BSc in Biochemistry; Bachelor of Commerce, Majoring in Accounting and Human Resource Management; and Postgraduate studies in Computing and ICT.

# Assurance Advisory Group Pty Ltd



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## About Us

Assurance Advisory Group (AAG) is a Pty Ltd company established in April 2015. We have established offices in Perth, Melbourne and Darwin. We also deliver services to Commonwealth agencies in Canberra.

Our Perth office is resourced on a full-time basis by Stephen Linden (founding director) and Executive Directors, Glenn Stroud and Greg LeGuier. Each of our directors has in excess of 20 years consulting experience in the public sector. We have formal working relationships with subject matter specialists in Human Resources (including OHS, Safety) and Procurement to complement our full-time resources.

We have a strong track record in the delivery of all of the services included in the CUA. We are especially strong in internal audit, probity, risk management, procurement, fraud / forensic investigations, project management and financial accounting. Client industries have included: Health, Education, Transport (including ports, rail), Utilities, Construction, Welfare Agencies, Regulatory Agencies, Local Government.

Our staff have significant experience with, and understanding of, the FMA, Treasurer's Instructions, State Supply Act, Public Sector Management Act and other relevant legislation and policies.

## Skills, Experience and Services

As our name suggests our focus is on providing **assurance** services including, but not limited to:

- Performance Audit
- IS audit
- Assurance training
- Data analytics (we utilise IDEA)

... and **advisory** services including, but not limited to:

- Probity advisory
- Procurement services
- Funding / pricing reviews
- Efficiency reviews, including process and function mapping
- Project reviews and training
- Change management



## **Industry Certifications and/or Qualifications Relevant to the Category**

Our professional staff hold a range of relevant industry certifications and qualifications including:

- Certified internal auditor
- Certified practising accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

## **Industry Partners or Affiliations**

We hold a number of affiliations and arrangements with other organisations that enable us to draw upon additional resources and expertise when required.

- Protiviti (a global internal audit, risk and information systems consulting firm); and
- Quantum Consulting (a local boutique consulting firm)

## Australian Audit Pty Ltd

AUSTRALIAN  
AUDIT

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### About Us

- Based in Perth CBD, Australian Audit has recently merged with a well-established and recognised WA based auditing practice, Avant Edge Consulting to form one firm under Australian Audit. With the merger, Australian Audit is now well placed to also provide financial audit, grant acquittal audit, internal audit, probity and risk management services to both WA state government and local government departments and agencies, including WA Universities.
- Australian Audit is competent and proficient in all areas of audit and assurance services whether conducted in accordance with Australian Auditing Standards and/or the International Standards for the Professional Practice of Internal Audit (IIA Standards).

### Skills, Experience and Services

Australian Audit is primarily an Audit-focused firm with four Directors, an Associate Director, Internal Audit, Probity and Risk and over 20 professional audit staff. The firm has some 100 years of combined audit and accounting experience in all areas including state government, local government, not for profit associations and registered charities and private companies.

Australian Audit specialises in the following audit services:

- Internal Auditing
- Financial Statement Auditing
- Financial Grant Acquittal Auditing
- Forensic and Fraud Investigations
- Compliance Audits
- SMSF Audits

Australian Audit has extensive experience and knowledge of the State Government Financial Management Act and Treasurers Instructions and the Local Government Act and associated Regulations.

### Industry Certifications and/or Qualifications Relevant to the Category

All Australian Audit Directors are Registered Company Auditors, and our staff are either CA or CPA qualified or in the process of being qualified.

The Associate Director, Internal Audit, Probity and Risk is a professional member and a fellow of the Institute of Internal Auditors Australia, an internal audit activity external quality assurance provider and a fellow of CPA Australia.

**BDO**

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## About Us

BDO is an accounting network with over 155 partners and directors and 1,200 employees across Australia. BDO offers a wide range of business and corporate advisory services to Government & Public Sector entities. Our service style sets us apart from our competitors in that our service is delivered by an experienced and senior professional team that you will have direct access to throughout the engagement. This enables us to collaboratively plan required work, identify key issues and prioritise your service needs whilst maintaining a cost-efficient price. Our Western Australia office, based in Subiaco, has 21 Partners and 230 employees.

## Skills, Expertise and Services

The BDO team perform internal audit services that are compliant with the most recent standards for the Professional Practice of Internal Audit issued by the Institute of Internal Auditors that are tailored to meet the Internal Audit Charter of the organisation subject to audit.

We also perform Information Systems Audit based on ISACA and Cobit 5 principles and methodologies. Our audit methodology aligns to the requirements of Treasurer's Instructions and ensures policies, processes and procedures are compliant with the relevant Financial Management Act.

Our team has the skills and experience to deliver all types of internal audits including the following: Co Sourced Internal Audit, Outsourced Internal Audit, Strategic Audit Planning, Annual Audit Planning, Internal Audit, Control Efficiency, Control Effectiveness, Governance Consulting, Reviews, Performance Audits, Strategic Audits, Contract Audits, Procurement Audits, Joint Venture Audits, Construction Audits, Operational Audits, Compliance Audits, Follow Up Audits, Process Improvement, Control Gap Analysis, Control Optimisation, Control Self Assessments (CSA), Internal Audit Performance and Quality Review, Financial Audits, Process Mapping, ICT Audits, IT Audits, IS Audits, Cyber Audits, Data Analytics. Project Audits, Project Assurance, Project Governance, Project Health, Project Status, Pre Implementation Reviews, Post Implementation Reviews, Data Migration, System Security, Application Security, IT General Controls (ITGC), IT Governance Reviews.

## **Industry Certifications and/or Qualifications Relevant to the Category**

Members of our team hold the following qualifications:

- Certified Internal Auditor
- Certified Government Auditing Professional
- Chartered Accountant in Australia and New Zealand (CA)
- Fellow Governance Institute of Australia (GIA)
- Graduate Member of Australian Institute of Company Directors (AICD)
- Prior Council Member of the Institute of Internal Auditors in Western Australia
- Certified Information Systems Auditor (CISA)
- Certified Information Security Manager (CISM)
- Certified in Risk and Information Systems Control (CRISC)
- Certified in the Governance of Enterprise IT (CGEIT)
- ISO/IEC 2700 Practitioner
- Certified Process Professional (CPP)
- Certified Enterprise Architect
- Diploma- Internal Audit
- Certificates in Prince2, Agile PM, COBIT, ITIL, AC
- ISACA – Information Systems Audit and Control Association
- Professional Member of Institute of Internal Auditors (PMIIA)
- Post Graduate Certificate in Internal Auditing – Institute of Internal Auditors, Australia
- Bachelor qualifications in Commerce
- Bachelor qualifications in Science - Cyber Forensics, Information Security and Management

**Blue Zoo**

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## About Us

Blue Zoo is an established Australian professional services firm, founded in 2006, that operates nationally and internationally with a large team of advisors experienced in assisting businesses with a range of specialised management advisory services (business and technology) within four broad pillars: Governance, Strategy, Sustainability and Risk. These are the underlying themes in all that we do, and in the skills of the advisors that we employ.

Blue Zoo has an established presence in many large public and private sector organisations through a commitment to delivering consistent and measurable value to our clients. These include 150+ clients in Australia as well as Fortune 500 multi-national corporations and foreign government agencies across Asia. Our extensive client base spans most industries and includes, state and local government, mining and resources, financial services, health, education, and energy, manufacturing and construction, and not for profit service providers.

## Skills, Experience and Services

Blue Zoo has been providing Internal Audit Services to a large number of public and private clients since 2006, and these services continue to grow.

We believe that by appointing Blue Zoo you will benefit from our substantial experience in the audits of a diverse range of entities.

Blue Zoo provides a full range of audit services, but is highly recognised and sought after for:

- Governance and Compliance Audits
- Project Governance Audits
- Information Technology Audits
- Information Security Audits
- Performance and Effectiveness Audits
- Fraud, Misconduct and Public Interest Disclosure
- Business Optimisation and Strategy Reviews

## Industry Certifications and/or Qualifications Relevant to the Category

Our team maintains a range of relevant qualifications including:

- Graduates of Australian Institute of Company Director's (AICD) Course
- Certified Information Systems Auditor (CISA)

## Braxford Consultancy Pty Ltd



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### About Us

Braxford has substantial experience providing audit services to WA public sector and other levels of government in this State, as well as semi-commercial and non-for profits organisations.

We provide an independent and objective advice on best practices, compliance with government requirements and effectiveness and efficiency of internal controls and procedures. Our preference is to assist our clients to find ways to cost effectively improve performance and control rather than simply using the audit resource to enforce controls for control sake.

We are highly experienced in WA Government operations and the Financial Management Act 2006. Braxford is a specialist in a wide range of internal, financial, performance and governance audits including external audit services for semi commercial and non-for-profit organisations.

Our audit services include risk identification and active participation in development of a Corporate Risk Register, audit planning through to execution of individual audit projects. Our work is conducted in accordance with Standards for the Professional Practice of Internal Auditing issued by The Institute of Internal Auditors and audit of financial statements conducted in accordance with Australian Auditing Standards.

### Skills, Experience and Services

We are proud to have established a first rate team of highly skilled review professionals and we are committed to progressing the standing and board/management demand for the profession of internal audit in Western Australia. Our mantra is to “Partner with the management effort by inspiring a culture of risk management that optimises the likelihood of achieving operational and strategic goals.”

Our staff are required to be familiar with and understand the requirements of all relevant legislation, including Financial Management Act 2006, Auditor General Act 2006 and Treasurer’s Instructions. Braxford senior team members are highly experienced at addressing Audit & Risk Committees and reporting to CEO’s and Executive Management.

Our services include:

- Risk and audit planning
- Compliance audits (i.e., FMA and / or Treasurer’s Instructions);
- IT Application & General Control Audits
- Performance audits (including KPI);
- HR audits (i.e., leave entitlements for government agencies);
- Procurement audits;
- External audits (audit of Financial statements)
- Security Reviews
- Governance Reviews

## **Industry Certifications and/or Qualifications Relevant to the Category**

- Master of Accounting (Curtin), Certified Practising Accountant and Member of Institute of Internal Auditors
- BBus, Registered Company Auditor, Registered Taxation Agent
- Fellow CA (Au and NZ), Member IIA, Certified Information Systems Auditor (CISA)
- Accredited Probity Service Provider – Resolution Institute
- Bachelor of Commerce (First Class Honours), University of Western Australia, PhD in Accounting, Curtin University, Institute of Chartered Accountants Australia & New Zealand

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## About Us

Crowe is ranked among the top 10 global accounting networks with over 150 independent accounting and advisory services firms in more than 100 countries around the world. Crowe is a leading provider of audit, assurance and consulting services and is committed to impeccable quality and standards.

Our assurance specialists have a proven record in helping clients improve their businesses. By developing an in-depth understanding of your business and industry, our team is in the best place to ensure your business is operating effectively and efficiently. We are your early warning system. We can help to identify opportunities to improve and rectify potential problems before it's too late.

## Skills, Experience and Services

Our audit and assurance services include:

- Financial statement audit
- Internal audit
- Grant acquittal audit
- Compliance plan and licence audit
- Independent accountants' reports
- Performance (operational) audits
- Alliance and joint venture audits
- APRA regulated superannuation fund audit
- Cost-recovery audits
- Information system audits

Furthermore, our Internal Audit team provides a wide range of other services which can be

- Customised to your circumstances. These include:
- Corporate governance consulting
- Risk management consulting
- Establish new internal audit functions and strategic plans
- Enhanced internal audit delivery through use of technology
- Specialised internal audit and IT assistance
- Independent quality assurance reviews
- Implement control self-assessment
- Assess and develop your internal audit staff



**Proactive approach** - Before each engagement we will meet with you to understand your requirements, discuss and agree on our approach, agree a detailed timetable and discuss any areas of concern. We also provide an individually tailored client assistance package to help you prepare for our visits.

Opportunities for improvements to your business and its processes will be communicated as we identify them. Our solutions will be well-informed, practical, commercially disciplined and relevant to your business and stage of maturity. For ongoing engagements, we will also maintain contact throughout the year to ensure that our knowledge of your business and any planned reviews, statutory reporting or other business and strategic requirements are up-to-date, and to discuss any issues or needs that may arise. We pride ourselves on being available to listen to you, whenever the needs arise. Our comprehensive approach means we not only simply provide audit and assurance solutions, we go further. Your Crowe team is led by advisers who aim to help you meet your business needs, whether this is at a strategic, operational or regulatory level.

**Managing the way you manage risk** - We understand that you need an auditor you can trust. At Crowe, we take a systematic and disciplined approach to evaluate and improve your risk management, control and governance processes. This process helps you make decisions on resource allocation, successfully manage risk, build a strong governance and control environment, identify areas for successful business improvement; and meet your statutory requirements.

Crowe will provide a report as part of the deliverable, the format and contents will be discussed and agreed with appropriate management and relevant members of our team will be available to attend Audit and Risk Committee meetings to assist with any queries. Our Audit teams provide services in accordance with best practice, and in line with our Internal Audit Methodologies and where applicable, Australian Auditing Standards, Auditing Guidance Statements, the International Professional Practices Framework issued by the Institute of Internal Auditors, the requirements of the Financial Management Act 2006 and Treasurer's Instructions 1201 – 1203 and other applicable regulations.

## **Industry Certifications and/or Qualifications Relevant to the Category**

Our Crowe team have professional memberships from recognised professional bodies such as the Institute of Chartered Accountants Australia and New Zealand, Certified Practising Accountants Australia, Institute of Internal Auditors and Information Systems Audit and Control Association.

## **Category Industry Partners or Affiliations**

With affiliations to our global network, Crowe relies on an approach to providing impeccable professional service that brings together teams of experts within each service discipline to act as thought leaders and advisors to the network. These network leaders provide global thought leadership on key issues and opportunities impacting multinational clients as well as set standards and best practices for service delivery.

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## About Us

The purpose of our Internal Audit service is to provide organisations with ongoing feedback and insights on performance, and the confidence that they remain on track to achieve their ambitions. We do this through industry specialised teams, subject matter expertise and innovative tools, technologies and data analytics to deliver insights on how our clients are tracking against their objectives, and how they can improve their risk and control environment. Our value proposition is underpinned by the following key themes:

An **approach with a fresh perspective** to enhance the way that our clients' experience our services. This differentiates our methodology and approach in the following ways:

- Our internal audit focus has changed from the traditional 'what might go wrong' to 'what must go right' with respect to assessing the purpose of processes and controls
- Our 'control strategy' method of identifying whether a process is under or over controlled avoids 'audit overkill' in addition to identifying efficiencies
- Our focussed reporting means that we deliver actionable, pragmatic insights focused on key risks and strategies, rather than exception based reporting
- Our risk philosophy of amplifying, demystifying and anticipating risk allows us to work with clients to seize, shape and sense their risk horizon
- Our use of contemporary data analytic techniques means we deliver profound fact-based insights and real actionable solutions, as opposed to the traditional analytical approaches that only reported on exceptions and siloed data.

A **collaborative approach** with our clients enables them to be involved in identifying the root cause of the issues and in developing the right action plans.

A **senior and experienced team** of professionals specialising in risk and controls who have **extensive experience** of delivering internal audit solutions to clients.

Leveraging **national and global Deloitte intellectual property** for our clients through the use of specialists (or Subject Matter Experts) to supplement the core internal audit team when required.

The knowledge bank of a Big Four firm with diverse talent to **provide intelligent risk advice** that enhances our clients' capacity to act. The recent recognition by independent market analysts, our widely-read publications and the relevant forums we organise show our eminence and leadership in this space.

Value for money and flexibility to suit client needs. We recognise that a low fee alone does not guarantee value-for-money services. We are confident that internal audit services in their own right should be a base of strong value for our clients. Value-for-money also requires the provision of quality services, experienced people and innovative and efficient approaches at an affordable rate.

## Skills, Experience and Services

We transform internal audit functions to achieve a high level of performance through a full range of outsourcing, co-sourcing, technology and data analytic services. We team with, or serve as, our clients' internal audit (IA) function to assist in process efficiency, fraud detection, operational quality, internal control, and regulatory compliance. Our services also include information technology IA, where we help our clients extend their IA oversight to gain a greater understanding of the risks, controls, and governance related to evolving IT systems, applications and other technologies. Established IA functions can also benefit from our strategy, assessments, and innovation and transformation services to achieve a higher level of performance, bringing greater value to the organisation as a whole.

### Value delivered:

As a co-sourced service provider, we collaborate with our clients to support their existing IA function. We provide “on demand” deep and focused industry-oriented, business, analytical, and risk and technical subject matter specialist internal audit capabilities on an as-needed basis to help clients build on existing strengths and improve the overall value of their IA function. As an outsourced service provider, we provide full IA services that can offer higher quality, flexibility, efficiency and effectiveness at a greater value than an existing in-house function. Our expertise in emerging IT audit, cyber, security, governance and risk issues enables clients to navigate their IT challenges.

Our **experience** includes the following public sector clients:

- ChemCentre
- City of Cockburn
- Construction Training Fund
- Department of Education
- Department of Health
- Department of Mines and Petroleum
- Small Business Development Corporation
- Department of Regional Development
- Department of Water
- Keystart
- Pilbara Ports Authority
- Southern Ports Authority
- Tourism WA
- Water Corporation.

## Industry Certifications and/or Qualifications Relevant to the Category

- Internal Auditors
- Chartered Accountants
- Certified Practising Accountants

## Industry Partners or Affiliations

- Institute of Internal Auditors
- Information Systems and Controls Association

## Dry Kirkness



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### About Us

**Dry Kirkness** was established in 1932 and has grown to become one of Perth's leading boutique accounting firms. In July 2022, Butler Settineri (Audit) Pty Ltd joined with Dry Kirkness to form Dry Kirkness (Audit) Pty Ltd and is now the largest independent provider of audit services in Perth.

We have a reputation of providing assurance and audit services for all types of business entities, including listed and unlisted companies, not-for-profit organisations and self-managed superannuation funds. Using software that enhances our audit services we deliver a comprehensive and cost-effective service to our clients.

As part of the Dry Kirkness brand we are able to access accounting, tax and bookkeeping services that help us perform an efficient and effective audit for your business.

Our partners have all worked in large, multinational accounting firms and bring a deep understanding of business structures, strategy and success. Many of our Partners have international experience they bring to every engagement.

The values we bring to every engagement are to build long lasting relationships with our clients, that we provide a professional outcome and are transparent in our communications with each client. We want to be your trusted advisor, so that you feel able to engage with us on all matters affecting the audit of your organisation.

Audit and assurance services and related corporate work accounts for 50% of the Dry Kirkness group fee base and includes the provision of services to listed companies, local government authorities, not-for-profit organisations, small to medium sized public and private corporations, AFS Licensees, and trust audits for legal practitioners, travel agencies and property related entities.

### Skills, Experience and Services

Our audit and assurance team has extensive experience in providing the following services:

- Internal Audits
- Compliance Audits
- Financial Audits (External Audits)
- Corporate Governance Reviews

We have performed these services for clients in the following industries:

- Local Government
- State Government and Agencies
- Not-for-Profits

- Aboriginal Health Services

## Industry Certifications and/or Qualifications Relevant to the Category

- Member of Chartered Accountants Australia and New Zealand;
- Registered company auditor with the Australian Securities and Investment Commission;
- Member Institute of Internal Auditors Australia;
- Registered SMSF Auditor
- Member Governance Institute

## Industry Partners or Affiliations

- CT Group Solutions is a dynamic Information Communication Technology service provider that has developed an enviable reputation for the successful delivery of ICT services and solutions across numerous industry sectors. The company offers premium managed services, distinct and secure private cloud hosting, In-depth business analysis through IT consultancy services and maximising information assets with document management solutions. CT Group provides tools for innovation and growth for businesses by minimising downtime, improving efficiencies, all whilst reducing costs. The comprehensive managed services encompasses daily support with a key focus on ICT strategies, roadmap planning, business risk mitigation and senior management reviews. From a consultancy perspective, the organisation focusses on efficiency analysis, benchmark auditing and implementation of innovative solutions.
- Ippolo & Associates solution and service offerings, comprising of Data Analytics, Robotics Process Automation (RPA), Strategic Consulting, Budgeting and Forecasting and Enterprise Resource Planning (ERP), are integral to any Digital Transformation initiative. In providing these service offerings, I&A engages with vendors who offer “best in class” solutions to ensure our clients, large and small, are delivered a solution that fits their specific needs within the budget they have available.
- Caseware is in business to help our customers work more effectively, gain deeper insights and see further along the road that leads to change for the better. We succeed by advancing their success. And we help them drive transformation by designing technology that reflects our deep understanding of what they hope to achieve – and why. As we turn caseware’s purpose into action, every decision is anchored by our core values:
  - Many voices, one team – We welcome people with diverse identities and points of view, knowing that we’ll grow stronger and find better answers when all voices are heard. Our open and inclusive culture encourages everyone to share ideas with honesty, empathy and respect;
  - Innovation with impact – We’re curious by nature and, like our customers, focused on finding creative solutions to business problems. Acting quickly and decisively, we push past boundaries as we evolve our products and services to meet the needs of our customers and their clients;
  - Powered by trust – Our customers count on us to provide intelligently designed products built to exacting standards and backed by expert support. As we strive to exceed their expectations, we earn the loyalty and trust that power our business and help build confidence in the future.

## Equal Assurance Pty Ltd



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### About Us

A WA company, Equal Assurance Pty Ltd is a foundation Partner of the Equal Assurance global confederation of independent audit practices. Our WA State government clients have included Department of Transport, Main Roads Western Australia and Landgate. Equal Assurance has been a previous member of CUA 23706. Equal Assurance is itself a part of Equal Group Pty Ltd which since 1994 has provided and continues to provide a range of management services to effectively every WA State government agency.

### Skills, Expertise and Services

Equal Assurance can provide a range of compliance audit services including but not limited to audit and certification criteria such as ISO 9001 (quality management), AS 4801, OHSAS 18001 and/or ISO 45001 (OHS health and safety management), ISO 14001 (environmental management), ISO 55001 (asset management), ISO 31000 (risk management), ISO 27001 (information security management), ISO 22301 (business continuity management) and ISO 10002 (complaints management).

### Industry Certifications and/or Qualifications Relevant to the Category

Equal Assurance is accredited by the Joint Accreditation of Australia and New Zealand (JAS-ANZ) as the Commonwealth government appointed regulator for conformity assessment across Australia. Equal Assurance remains the only WA owned entity to maintain the prescribed levels of JAS-ANZ accreditation. In addition, all Auditors engaged by Equal Assurance are certified to international standards such as for ISO 19011.

### Industry Partners or Affiliations

Equal Assurance is a Member of the Association of Accredited Certification Bodies (AACB). The General Manager is a Chartered Professional Engineer, and is currently Vice Chair of AACB. The General Manager represents AACB on Standards Australia Committee QR-8 which is responsible to TC176 for ISO 9001 and similar standards.

# Ernst & Young



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## About Us

EY is one of the largest professional services firms in WA, operating as part of a global firm comprising more than 250,000 personnel. Our services help clients strategically assess, remediate and improve governance and control processes, while also elevating the profile of audit and financial advisory services in identifying and addressing complex risk areas.

Our ability to bring innovative ideas and advisory support, greater technology enablement and broad subject matter experience in complex areas allows us to help our clients gain significantly enhanced value from our services.

Our global methodology features:

- A proven, consistent approach, enabled by technology
- A focus on higher-risk issues with integrated subject matter resources
- Governance and execution protocols with the rigor to drive change
- An emphasis on flexible risk assessment and on continuous communication
- Key performance indicators that drive accountability and performance

## Skills, Experience and Services

We have extensive experience delivering a wide-range of audit services for Government clients across both internal and external (financial statement) audit contexts.

### External Audit Services

Financial statement and reporting audit and assurance services.

### Internal Audit Services

We support organisations in the development of and delivery of their annual internal audit plan requirements, either in a co-sourced or out-sourced arrangement. We have experience in the delivery of high-impact audits across all aspects of an organisation's risk profile including strategic, IT, operational, compliance, reputational and financial risks. We provide timely and insightful reporting with practical and pragmatics recommendations across all our audit services.

We recognise there is no “one-size fits all” solution for internal audits and have tailored internal audit responses that span across the following:

- Development of strategic internal audit plans
- Testing of control design and operating effectiveness
- Project / program risk management assessments
- Health checks / gateway reviews / readiness assessments
- Pre/post-implementation reviews
- Compliance audits
- Contract / third party audits
- Financial controls audits
- Performance audits
- Information systems audits including cyber security, cloud assurance, IT general controls, application control testing and business continuity / IT disaster recovery.
- Data analytics
- Project management framework reviews
- Corporate governance framework reviews, including Board performance assessments
- Control process / framework reviews
- End-to-end process assessments
- ‘Deep dive’ assessments
- Internal audit, risk and controls education and training
- Internal audit function assessments
- Internal audit function support including – Audit and Risk Management Committee operational activities - e.g. internal audit recommendation action follow ups and reporting

### **Industry Certifications and/or Qualifications Relevant to the Category**

Our internal audit methodology is aligned with the Institute of Internal Auditors’ International Professional Practices Framework (IPPF) and our financial audit services follow the provisions of the Australian Accounting Standards Board (AASB) and the Auditing and Assurance Standards Board (AUASB).

Our personnel have a range of certifications, qualifications and professional memberships across an array of relevant professional organisations, including the following: Institute of Internal Auditors Australia; Chartered Accountants Australia & New Zealand; Information Systems Audit and Control Association; Institute of Actuaries of Australia; Business Continuity Institute; Risk Management Institute of Australia; and Australian Institute of Company Directors.

Examples of the professional qualifications / certifications held by our personnel include: Chartered Accountant; Certified Practising Accountant; Graduate of the Australian Institute of Company Directors; Certified Information Systems Auditor; Certified Internal Auditor; and PRINCE2 Practitioner.





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## About Us

GHD is a global engineering company operating in five core market sectors: Energy and Resources (including renewables), Environment, Water, Transport, Property and Buildings

GHD employs more than 8,500 people in over 200 offices across five continents to deliver projects with high standards of safety, quality and ethics across the entire asset value chain.

GHD's Advisory practice has been created to leverage GHD's outstanding technical capabilities to help our clients solve their business related issues. Our local practice includes a range of skills and experience beyond engineering, including experience in assessing a wide range of programs for state government departments and controlled entities.

GHD has extensive experience in Audit Services specifically for performance (Operational) audits and Regulatory Information (RIN) audits in accordance with processes and approach required by ASAE 3000 for negative assurance reviews, strategic analysis of planning process and governance reviews.

In our Audits we harness the technical capability of GHD to provide you with a full suite of non-financial audit and compliance subject matter specialists and assurance consultants to meet the assurance needs of your organisation. Whether at a whole of business level or in response to a specific business need, GHD has broad experience in meeting our clients' requirements through:

- Providing controls assurance to your stakeholders from the board room to the lunch room.
- Regulatory compliance framework advice on set-up, design, operationalisation and ongoing management of obligations.
- Auditing the operating efficiency and effectiveness of your controls in managing risk.

## Skills, Expertise and Services

- **Technical assurance assignments** to apply techniques consistent with the ASAE 3000 standard for non-financial assurance reviews forecasting practices, economic and scenario analysis. We have completed assurance reviews for many state government departments, the ERA, other regulators and government controlled entities.
- **Process reviews** for organisational and operational efficiency, asset management, environmental compliance, investment decisions, service quality and information gathering. We have completed process reviews for all of WA's major utilities and a number of state government departments
- **Regulatory and technical reviews** of industry issues including network constraints and power system operational incidents. GHD has worked on both sides of these projects having worked for both the regulator and the regulatory entity

**KPMG**

KPMG Australia Partnership



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## About Us

KPMG is a global network of professional firms providing Audit, Tax and Advisory services. Our Western Australian government team has extensive experience delivering audit and financial advisory services across many sectors. Clients call upon us for small discrete engagements through to large complex programs of work; and urgent requests. We have the capacity and diverse capability to respond to a wide range of needs: we provide outsourced and co-sourced internal audits to some of the largest and most diverse public sector agencies, as well as providing targeted audit support (both IT and non-IT) to agencies across the majority of government sectors. We have specific and relevant experience in health; education; transport; justice and emergency services; utilities; infrastructure and lands. We specialise in bringing innovative solutions to our clients' audit needs which provides the required management assurance, adds value and reduces complexity.

## Skills, Experience and Services

Capabilities and services relevant to this CUA include, but are not limited to:

- Management, coordination and delivery of outsourced and co-sourced internal audit functions;
- Risk based strategic internal audit planning;
- internal audit, risk and control training and coaching; independent advice on establishment of internal audit functions and peer reviews of performance;
- financial processes, control and compliance audits;
- operational process and performance reviews;
- information technology audits and cyber assessments/reviews;
- data analytics driven audits and data analytic roadmap development for audit functions;
- corporate governance reviews and Board/Audit Committee assessments;
- project and program health checks and framework reviews;
- third party contract performance and compliance audits;
- enterprise risk management framework reviews;
- pre-go live assessments; post-implementation reviews; IT system implementation audits;
- infrastructure project audits and governance framework review;
- human resources, soft controls and cultural audit assessments; and
- administrative support such as follow up of internal audit recommendations; and reporting to Audit and/or Risk Committees.

## **Industry Certifications and/or Qualifications Relevant to the Category**

Our consultants hold a wide range of qualifications and certifications relevant to this category, including:

- Chartered Accountant (CA-ANZ)
- Certified Practising Accountant (CPA)
- PRINCE2 Practitioner
- Project Management Professional (PMP)
- Certified Information System Auditor (CISA)
- Certified Information System Manager (CISM)
- Range of security clearances

## **Industry Partners or Affiliations**

KPMG has corporate membership of various industry bodies, and many of our consultants hold individual memberships with, or are affiliated to, organisations such as:

- Institute of Internal Auditors (IIA)
- Institute of Public Administrators Australia (IPAA)
- Risk Management Institute of Australasia (RMIA)
- CPA Australia (CPAA)
- Chartered Accountants Australia & New Zealand (CA-ANZ)
- Information Systems Audit and Control Association (ISACA)
- Project Management Institute (PMI)
- Business Continuity Institute (BCI)
- Australian Institute of Company Directors (AICD)

## McGrathNicol Advisory



McGrathNicol

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### About Us

McGrathNicol provide independent reviews and audits designed to assist an organisations operations in respect of compliance, performance and information technology against specified benchmarks.

### Skills, Experience and Services

McGrathNicol skills include:

- Performance and governance audits;
- Performance improvements; and
- Assessment of the quality of financial information.

### Industry Certifications and/or Qualifications Relevant to the Category

McGrathNicol qualifications and certification include:

- Graduate Diploma Chartered Accounting

### Industry Partners or Affiliations

McGrathNicol and its staff have an association with the Governance Institute of Australia and Chartered Accountants Australia New Zealand.

## Moore Australia (WA) Pty Ltd

as Agent for the Moore Australia (WA) Partnership of  
Trusts (formerly Moore Stephens (WA) Pty Ltd)  
Moore Australia Audit (WA) Partnership



MOORE. THE NEW BRAND FOR MOORE STEPHENS

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### About Us

Moore Global is one of the world's major accounting and consulting networks, comprising 579 offices of 255 member firms across 114 countries, with more than 2,600 Partners and 28,000 staff servicing clients for over 60 years. Our WA firm has approximately 100 staff including 14 Partners in Audit and Assurance, Corporate Finance, Business Advisory, Local Government Advisory and Tax Consulting.

Our global audit methodologies are compliant with the Auditing and Assurance Standards and Standards for the Professional Practice in Internal Auditing issued by the Institute of Internal Auditors in Australia, (where relevant) and are scalable from small to global entities to ensure an efficient and effective audit approach.

#### Moore Australia

Moore Australia is an audit, accounting, tax and advisory firm providing astute advice and practical solutions, to consistently deliver solid results. With our firm on board, we help you operate at your best, enabling you to achieve your goals. Moore Australia provides services under this Category of the CUA via Moore Australia (WA) Pty Ltd as Agent for the Moore Australia (WA) Partnership of Trusts and the Moore Australia Audit (WA) Partnership.

We have a strong understanding of the Financial Management Act, Treasurers Instructions, Public Sector Management Act, Local Government Act and their related regulations, other relevant legislation and policies.

We have a Partner with approximately 10 years of Executive experience with the Office of the Auditor General in WA who will bring significant value and insight to your audit.

We have access to the Moore Australia National Audit Technical resource located within the Perth Office providing our staff and clients with easy access to technical advice.

We have been trusted advisors to many businesses, state and local governments and not for profit organisations for nearly four decades, providing advice customised to meet the needs of our clients. Our success is derived from our ability to understand the challenges that our clients face and to provide intelligent solutions. We combine genuine client engagement with astute

advice. We offer a wide array of services and have specialist knowledge in many industries and sectors.

## **Skills, Experience and Services**

The Moore Australia team are highly skilled in providing audit services to government entities. We have been the provider of local government audit services for over 40 years. We use data analytics in our audits to provide our clients with insights into their data and to perform an efficient and effective audit when compared to traditional audit techniques. Moore Australia audit services include

- Board and Sub-committee advice
- Audit & Risk Committee secretariat activities and audits
- Compliance and legislative compliance audits
- Enterprise risk management framework audits
- Financial statement audit including grant acquittals
- Forensic and fraud audits, misconduct reviews and public interest disclosure audits
- Governance audits
- Health checks, gateway reviews and readiness assessments
- Information systems application and general controls audits, cybersecurity audits and pre/post implementation reviews of ICT systems. We base these on ISACA and Cobit 5 principles and methodologies.
- Infrastructure project audits
- Internal audit (co-sourced and out-sourced) and internal controls audits, financial process operational audit
- Performance (operational) audits and pre and post implementation reviews
- Project management audits
- Superannuation fund audits

## **Training and education in the above Industry Certifications and/or Qualifications Relevant to the Category**

Australian Institute of Management (AIM) - Fellow

Australian Institute of Company Directors (AICD) - Graduates

Certificate Public Sector Management- Graduate

Certificate Infrastructure Asset Management- Graduate

Certified Internal Auditor (CIA)

Certified Practising Accountants (CPA) - Fellows, Members

Chartered Accountants Australia and New Zealand (CAANZ) - Fellows, Members

LG Professionals WA- Fellow

Graduate Certificate Infrastructure Asset Management

Graduate Certificate Public Sector Management

Governance Institute of Australia (GIA) - Fellows

Institute of Internal Auditors (IIA) - Associate Members

Institute of Public Administrators in Australia- Members

Registered Company Auditors (RCA)

SMSF Auditor with ASIC.

## **Industry Partners or Affiliations**

Moore Australia (WA) Pty Ltd is a member of both Moore Australia and Moore Global.

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## About Us

Paxon Group (Paxon) is a leading consulting and advisory firm with over 50 professionals in Perth, Sydney, Melbourne, Brisbane, Adelaide and Darwin. The firm possesses extensive experience providing audit and risk management services for state and local government agencies within Western Australia.

We have provided extensive consulting services across Western Australia for 30 plus years. Over the last two years, our team has undertaken over 100 audits, reviews and consultancy assignments for state agencies and local government.

Paxon is an approved supplier of financial and internal audit services via the following panels:

- Department of Treasury and Finance Common Use Agreement (CUA) as an approved supplier of internal audit services for state government agencies, and
- Western Australian Local Government Association (WALGA) as an approved supplier of internal audit services for local governments of WA.

Paxon has an extensive track record in risk assurance within State Government Associations. We routinely deliver a range of services including Risk Register development and expert risk assessment services, including the review of strategic audit plans which have led to co-sourced internal audit engagements.

Paxon's audit team delivers optimum outcomes for local and state government at the point where strategic, social, commercial and governance outcomes are all achieved. We have extensive experience providing strategic advice to government, including advice on trends and benchmarks, community needs, stakeholder requirements, governance structures and future investment priorities.

## Skills, Experience and Services

Paxon has one of the largest specialist internal audit and risk management groups in Western Australia. We have over 15 internal audit and risk management professionals dedicated to servicing our clients.

We have a dedicated team of public sector audit specialists focusing exclusively on public sector internal audits, performance (operational) audits, compliance audits, financial audits, information systems audits and corporate governance reviews.

Paxon offers a full range of internal audit services including:

- Fully outsourced and co-sourced internal audit
- Strategic internal audit planning
- Financial audits
- Risk management advice
- Governance



- Procurement and probity
- Information system reviews, disaster recovery, business continuity
- Compliance and operational reviews
- Performance audits
- Financial management system and procedure reviews
- Training for executive on risk management and compliance
- Cash handling reviews
- Grant acquittals
- Quality management systems (QMS) auditing, and
- Procedural documentation.

Paxon’s unique methodology has been customised to comply with standards issued by the Institute of Internal Auditors and other professional associations. It incorporates adherence with Government legislation, regulations and quality standards, including Financial Management Act (2006), Financial Management, Regulations (2007), Government Financial Responsibility Act (2000), Government Financial Regulations (2006), and Premier and Treasurer Instructions.

We know how to facilitate an efficient audit process, mitigate risk, complement existing governance regimes and maintain your confidentiality. We provide best practice methodology and reporting as part of any engagement.

## Industry Certifications and/or Qualifications Relevant to the Category

Our staff bring a diverse set of skills, experience and qualifications to our clients. All have degree level education and industry certifications including:

- Certified Internal Auditor (CIA)
- Certified Practicing Accountant (CPA)
- Chartered Accountant (CA)
- Masters of Business Administration (MBA)
- Masters of Applied Finance (MAppFin)
- Master of Finance (MFin)
- Juris Doctor
- Diploma of Financial Markets
- Graduate Diploma of Applied Finance and Investment
- Certified Taxation Adviser (CTA)
- Chartered Financial Analyst (CFA)
- Bachelor of Commerce / Economics / Engineering / Nursing / Law / Science
- Chartered Financial Analysts Society, and
- Australian Institute of Project Management (AIPM)

## Industry Partners and Affiliations

Paxon partners and staff enjoy membership and affiliations with a significant number of industry bodies and professional associations. Some relevant to this category include:

- Australian Institute of Banking and Finance
- Australian Institute of Management
- Australian Institute of Public Accountants
- Chartered Accountants Australia and New Zealand (CA ANZ)
- Chartered Association of Certified Accountants
- Committee for Economic Development of Australia (CEDA)
- Financial Services Institute of Australasia (FINSIA)
- Institute of Internal Auditors (IIA)
- Property Council of Australia (PCA).
- Securities Institute of Australia
- Taxation Institute of Australia.

**Protiviti**

**protiviti**<sup>®</sup>  
Face the Future with Confidence

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## About Us

Protiviti is a global consulting firm that helps companies solve problems in finance, technology, operations, governance, risk and internal audit, and has served more than 60 percent of FORTUNE 1000<sup>®</sup> and 35% of FORTUNE Global 500<sup>®</sup> companies. Protiviti and its independently owned Member Firms serve clients through a network of more than 70 locations in over 20 countries. In Australia Protiviti is a significant supplier to all Australian and State Governments. The firm also works with smaller, growing companies, including those looking to go public, as well as with government agencies.

Protiviti is a wholly owned subsidiary of Robert Half (NYSE: RHI). Founded in 1948, Robert Half is a member of the S&P 500 index.

We are the largest firm dedicated exclusively to providing independent risk consulting and internal audit services. At Protiviti, internal audit and risk consulting are our business, our primary focus, and our core competency.

We bring highly configurable solutions to our clients. Our clients tell us that what sets us apart is our ability and willingness to structure resourcing solutions specifically to their needs. We bring a combination of dedicated full-time risk professionals, contract talent and global resources to bring cost efficient and highly effective solutions.

Our global network is all part of a single organisation under one ownership structure. This gives us the ability to collaborate, communicate and respond quickly and seamlessly to our clients.

Protiviti has achieved phenomenal success in our 10+ years of business. This has been driven by our focus on being value partners with our clients. We proactively work with management teams to assess, design, and execute risk plans related to their business. When you need us we are there. We share ideas. We tap into our firm-wide resources to bring forward the best solutions.

## Skills, Expertise and Services

Protiviti have the skills and expertise to offer a full suite of professional services under CUA AFA2018. This includes:

- Internal Audits
- Information Systems Audits
- Investigations / Forensic Audits
- Corporate Governance Reviews
- Project Assurance and Advice
- Data Analytics
- Risk Assessments, Risk Management Plans and Risk Reviews
- Business process re-engineering and improvement advice
- Probity Audits and Advice
- Procurement, Tendering and Commercial Advice
- Development of Policies and Procedures
- Preparation of Financial Statements and Annual Reports
- Financial Statements Analysis
- Financial Assessment Services

## **Industry Certifications and/or Qualifications Relevant to the Category**

Our professional staff hold a range of relevant industry certifications and qualifications, including:

- Certified Internal Auditor
- Certified Practising Accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

## **Industry Partners or Affiliations**

Protiviti is one of only 10 Principal Partners of the Institute of Internal Auditors globally.

## Quantum Consulting Australia



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### About Us

Quantum Consulting Australia is an advisory firm that specialising in providing consulting services to government and commercial organisations in Australia and focuses on organisational reviews, evaluations and financial due diligence reviews.

Quantum Consulting Australia has been providing professional services for over 12 years (the firm was established March 2005).

Our clients are predominately Government Agencies and Departments (Commonwealth, State and Local Government) throughout Australia, financial institutions and educational institutions.

### Skills, Experience and Services

The firm holds a Certificate of Public Practice with the Chartered Accountants Australia and New Zealand (CAANZ) and focuses on:

- organisational and financial reviews
- performance assessment
- governance and
- financial due diligence reviews.

Examples of previous consultancies/projects include the following:

1. Project Name: Royalties for Regions Acquittal Process Reviews  
Client: Department of Regional Development
2. Project Name: Benchmarking and Cost Benefit Analysis of DLSN  
Client: Department of Defence

### Industry Certifications and/or Qualifications Relevant to the Category

The nominated Project Manager holds the following:

- Fellow of the CAANZ
- Fellow of the Australian Institute of Management
- Member of the Australian Institute of Company Directors

## Quantum Management Consulting & Assurance



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### About Us

Quantum Management Consulting & Assurance (“Quantum”) is an audit, accounting and advisory group that specialises in providing independent assurance advice and solutions for the control, efficiency and effectiveness of business processes. The group has been operating successfully since 2005 and the Director has over 30 years’ professional audit experience.

Our core business is internal audit, accounting and related consulting services, particularly for State Government agencies in Western Australia, financial institutions and not-for-profit organisations.

Our goal is to build long-term relationships with our clients through delivering innovative and value-adding solutions to protect and improve our clients’ business.

We are totally committed to delivering high quality and timely internal audit services and business solutions.

A point of difference is that Quantum only employs experienced senior consultants with hands-on involvement of the Director which provides more in-depth and innovative outcomes than less experienced teams.

Quantum is also one of the few firms in Western Australia that provides IT audit services integrated with general audits so clients receive a complete solution based on analysis of the IT systems.

Quantum is a Chartered Accounting practice and holds a fully accredited Practising Certificate from Chartered Accountants Australia and New Zealand. The entrance requirements are high, and continuing annual professional development is a mandatory requirement.

### Skills, Experience and Services

Quantum provides the full range of audit services including:

- Internal Audit
- Performance/Operational Audit
- Information Systems Audit (IT Audit)
- Quality Assessments of Internal Audit
- Investigations.
- Grant Audits.
- Not-For-Profit External Audits

The Director and senior consultants are members of professional bodies including Chartered Accountants Australia and New Zealand (CAANZ), CPA Australia (CPAA), the Institute of Internal Auditors – Australia (IIA) and the Information Systems Audit and Control Association (ISACA).

Our experienced consultants can provide a tailored solution to meet your needs with a fixed price quotation. The hourly rates charged are fixed, with Quantum providing very competitive rates compared to other firms on the panel.

### **Industry Certifications and/or Qualifications Relevant to the Category**

- Practising Certificate – Chartered Accountants Australia & New Zealand
- Chartered Accountant
- Certified Internal Auditor
- Certified Information Systems Auditor

## RSM Australia Pty Ltd



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### About Us

RSM is the largest mid-tier accounting firm in Australia, with 32 offices, over 80 partners and 1,100 staff. We are part of the RSM International network, the sixth largest audit, tax and consulting network in the world, with approximately 41,000 people in 800 offices across 120 countries.

### Skills, Experience and Services

Audit is an independent, objective assurance and consulting activity designed to add value and improve an organisation's operations. It helps an organisation accomplish its objectives and improve the effectiveness of risk management, control and governance processes. Within this bracket we provide a number of types of audit, such as internal audit, financial, process, performance / operational, compliance, information systems and corporate governance audits.

### Industry Certifications and/or Qualifications Relevant to the Category

Member of Institute of Internal Auditors (IIA), Fellow/Associate of the Institute of Chartered Accountants Australia & New Zealand (FCA/ACA), Certified Practising Accountant (CPA), Member of Australian Institute of Company Directors (GAICD), Certified Information System Auditor (CISA), Member of Information Systems Audit and Control Association (ISACA).

### Industry Partners or Affiliations

Australian Institute of Company Directors (AICD), Institute of Chartered Accountants Australia & New Zealand (CAANZ), Certified Practising Accountants Australia (CPAA), Risk Management Institution of Australasia (RMIA), Institute of Internal Auditors (IIA).

**Stantons**

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## About Us

The Internal Audit/IT Audit and Risk Consulting arm of Stantons offers services that are unique to a Tier 2 Accounting firm but which provide value-adding governance and stewardship capabilities for support of our public and private sector clients. Our established record in the provision of Internal Audit Services including related IT Audit services has continued to earn the “Trusted Advisor” level of appreciation and acceptance of the work we do with clients.

We combine our unique style which seeks to continue to add value through continuous audit monitoring and guidance to all our clients. We provide services to State and Federal Government level; making Stantons one of the most experienced firms in the provision of “fit-for-purpose” and value adding audit services. Across the Public Sector domain, we have provided audit services to main stream public sector entities and Government trading enterprises.

## Skills, Experience and Services

Under the leadership of our Principal, IT Audit and Risk Consulting/Internal Audit, Mr James Cottrill, Stantons is able to call upon a diverse range of skills and experience in providing Audit Services, particularly to complex, high-value and high-risk audit engagements. James has over thirty years’ experience in the fields of accounting, information technology and auditing. He has performed and led a number of WA, NT and overseas audit engagements.

Stantons has also provided audits to a variety of WA state agencies and NT state agencies in relation to the largest Departments such as Health and Education. Stantons takes a “value adding” approach which seeks to improve and opposed to a “tick and bash” auditing approach.

Stantons adopts a “risk based” approach in executing its audits and does not omit the identification of other areas management “needs to know about” even if these are not part of the audit scope.

## Industry Certifications and/or Qualifications Relevant to the Category

Stantons is a Chartered Accountant-certified organisation, which demonstrates a level of general rigour in our internal process and internal quality assurance (QA) processes. In addition to the organisational certification, across the personnel in the Internal Audit/IT Audit and Risk Consulting area that is responsible for program reviews and at SI the following relevant certifications and qualifications are represented:

- Senior Member Certified Professional Australian Computer Society
- Certified Internal Auditor (Institute of Internal Auditors Australia)
- Certified Information Systems Auditor



- Certified Practising Accountant (CPA Australia)
- Chartered Accountant
- Master of Economics
- Bachelor of Business
- Master of Business Administration
- Post Graduate Diploma in Management
- Graduate Diploma in Business (Computing Studies)
- Bachelor of Commerce
- Bachelor of Business Administration.

## **Industry Partners or Affiliations**

Stantons is an affiliate of the Russell Bedford International (RBI) group of companies, which is a global network of accounting/auditing firms, and tax and business advisors. In Australia, specialist divisions, the Forensic Audit Division; Stanton-CDMIS and the Tax and financial planners affiliate; Marsden-Stantons form part of the unique Stantons collaborative structure. At an international level, the network is represented by some 290 offices in more than 100 countries, including offices in each State in mainland Australia.

Through the RBI network, Stantons shares and has access to a national and international network of expertise, training materials and resources. Stantons actively participates in the network through regular representation and delivery of presentations at national and international RBI conferences and training sessions. Stantons also has a strategic partnership with the RBI affiliate based in Adelaide, Lee Green and Associates, including a collaborative partnership between the organisations based in Darwin.

## The Nexus Network



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### About Us

The Nexus Network is a network of highly skilled, experienced consultants specialising in helping organisations think and act strategically. Our consultants have been working with the WA Public Sector for more than 20 years, in that time consulting with over 40 State Government agencies. Nexus consultants have a deep appreciation of, and commitment to, the public sector, having worked in various government agencies prior to joining Nexus.

Our consultants work with you to deliver the outcomes you want by providing independent expert services and advice.

Nexus consultants have expertise in a range of audit and review services. Our consultants have extensive experience in the WA Public Sector oversight sector providing independent oversight, assurance and audit services to a range of government agencies. We have an unrivalled understanding of WA Public Sector integrity requirements and will work with your agency to systematically identify system performance and compliance strengths and weaknesses.

Nexus specialises in corporate governance reviews using an evidence driven, system based evaluation methodology which has successfully and repeatedly withstood Parliamentary scrutiny over the past decade and assisted agencies to strengthen governance capacity.

### Skills, Experience and Services

Nexus is committed to working in partnership with organisations to deliver business outcomes important to our clients.

We have a demonstrated track record of successfully matching the skills of our consultants to specific assignments and have the capacity to manage major consultancies as well as shorter term, more focused projects.

Our expertise in the provision of audit review services spans the following areas:

- Organisational Review/Design:
  - efficiency and effectiveness reviews
  - assurance reviews and reporting
  - governance development
- Governance, integrity, risk and compliance
  - Corporate governance reviews
  - Misconduct prevention
  - System based reviews
  - Performance (operational) audits

- Compliance audits
- Risk identification and development of controls

## **Industry Certifications and/or Qualifications Relevant to the Category**

- Masters of Psychology (Community), Edith Cowan University, 2009
- Post Graduate Diploma in Psychology, Edith Cowan University, 2004
- Master of Business Administration, University of Western Australia, 1984
- Bachelor of Education, University of Melbourne, 1975
- Bachelor of Science (Hons), University of New South Wales, 1970
- Diploma of Education, University of Western Australia, 1993
- Graduate Certificate in Management, Curtin University, 2005
- Graduate Certificate in Integrity Studies, University of Wollongong (2012)
- Master of Leadership and Management (Policing), Charles Sturt University (2009)
- Associate Degree of Social Science (Policing), Edith Cowan University (2004)

## **Industry Partners or Affiliations**

- Institute of Public Administration Australia (WA)
- Australian and New Zealand School of Government (Fellow)
- Industrial Relations Society of Australia (previous President)
- Western Australian Industrial Relations Society (previous President)
- Independent Assessor (under the Children and Community Services Act 2004), Department of Communities Secure Care and Residential Facilities
- Australian Health Practitioner Regulation Agency
- Recent membership:
  - Organising Committee Member, Australian Public Sector Anti-Corruption Conference 2015
  - WA Public Sector Integrity Coordinating Group Working Group

## Ubique Partners



Contact Name	ACN	ABN
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### About Us

Ubique Partners, was founded in 2017 by Luke Parsons and Toby Browne-Cooper as a multidisciplinary business advisory practice to service both public and private sector clients. Ubique Partners is a multidisciplinary advisory practice with a focus on strategy, commercial and financial advisory and assisting clients address their most complex challenges.

The core expertise of the Ubique Partners team lies advising public sector clients or, where the engagement is a transaction (e.g. a PPP), advising private sector clients on complex public sector projects.

We are drawn from 'top tier' professional advisory backgrounds spanning:

- Partner and director level positions in 'big 4' advisory firms
- Director level positions in leading investment banks, and
- Partner and senior associate level positions in top tier law firms.

Our team also brings relevant experience working in senior positions in the public sector and leading global corporate enterprises. Ubique Partners team members and business partners have significant experience in innovation and managing disruptive change from emerging technologies. This is one of our key differentiators and innovation is a core objective of Ubique Partners.

### Skills, Experience and Services

Ubique Partners team members have more than 10 years professional experience relevant to internal audit services. They have completed co-sourced internal audit and Board Audit Committee reporting functions, conducted internal audits and reconciliations on company asset registers and made recommendations with respect to internal control procedures.

Ubique Partners team members offer the following internal audit services:

- Strategic Audit Planning; Internal Audit services – financial; Internal Audit services - ICT
- Compliance and controls audits; Governance reviews; Commercial, operational and performance reviews and audits.

The approach to engagements is in line with the Institute of Internal Auditors' Professional Practice Framework and most recent standards.

Key clients served include:

- ASX listed entities and Private sector clients.
- Government agencies

## **Industry Certifications and/or Qualifications Relevant to the Category**

Relevant Ubique Partner team members hold the Chartered Accountant certification from the Institute of Chartered Accountants. Team members are also certified by or affiliated with Senior Certified Professional, Australian Computer Society and the Information Systems Audit and Control Association.

Ubique Partners team members hold a combination of bachelor and master levels degrees in commerce, economics, public infrastructure and law and many have completed their MBAs and the Company Directors course (AICD).

## **Industry Partners or Affiliations**

Ubique Partners and its specified personnel have the following affiliations:

- Members / Fellows of the Institute of Chartered Accountants
- Australian Institute of Company Directors
- Australian Computer Society
- IT Governance Institute
- Admitted to practice in the Supreme Court of Western Australia and other Australian jurisdictions.

Industry partners of Ubique Partners include:

- VROC: artificial intelligence and advanced data analytics
- Sentient Computing: advanced visualisation including virtual reality and augmented reality
- expertential.com: process mapping, quality assurance, training and workflow management for professional services, and
- Whytes Chartered Accountants: we enhance our organisational strength through our teaming with this 34-year-old Perth firm.

**William Buck**

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## About Us

William Buck is a leading consulting firm with a proud 120 year history. While we are a firm of Chartered Accountants we have a growing reputation for delivering value driven audit and assurance engagements across the private and public sector. We invest heavily in our people and have a team of public sector audit experts to ensure we provide the best possible services to our WA State and Local Government clients.

While we are part of a National network and we have the ability to draw upon the knowledge and experience of team members throughout Australasia, William Buck Consulting (WA) Pty Ltd, based in South Perth would be responsible for delivering services under CUA AFA2018. The Directors of William Buck Consulting (WA) Pty Ltd have established a governance framework that promotes our strategic objectives and our focus on “changing lives”. This allows our business units to share knowledge, skills and experience, maximising our capacity to respond to our client’s needs.

### **Audit Services**

Our Audit and Assurance team provides both internal and external audit services to State and Local Government as well as the not-for-profit and private sector.

## Skills, Experience and Services

### **Internal Audit**

Our Internal Audit team includes experienced public sector internal audit specialists. Our team has considerable experience working with Government clients in Western Australia as well as the UK, South Africa and the Maldives.

We have worked with a diverse range of complex public-sector organisations including over 40 State Government entities and Local Government Authorities, conducting governance, compliance, operational and financial reviews in line with best practice and the requirements of the Public Sector Management Act 1994, the Financial Management Act (FMA) 2006 and associated Treasurer’s Instructions (TI’s).

Our risk based methodology is fully compliant with the Institute of Internal Auditors’ (IIA) International Standards for the Professional Practice of Internal Audit. This allows us to focus our work on key risks as well as considering the controls in place to manage them.

We have extensive experience providing all types of internal audits on a fully outsourced, co-sourced and one-off basis. We have experience delivering financial audits incorporating all key financial processes such as purchasing card audits; procurement and contract management audits; governance reviews focusing on key governance processes including strategic and operational

planning, policy development, risk management and reporting; operational audits focusing on the efficiency and effectiveness of business activities (for example events management, corporate travel, grants management, fleet management or stakeholder communications); records management audits; service level reviews; project management audits including an assessment of project planning, delivery and reporting; human resources reviews including payroll, workforce planning and training; occupational safety and health (“OSH”) audits; Information Systems (“IS”) audits including business continuity planning and disaster recovery; and contract compliance audits.

We have long-term contracts for the provision of fully outsourced internal audit services to one of Perth’s largest councils as well as several State Government Departments, Authorities and Government Trading Enterprises.

Our internal audit services also include the development of three year rolling Strategic Internal Audit Plans and Annual Internal Audit Plans as well as Audit Log follow-ups and attendance at Audit and Risk Management Committee meetings.

### **External Audit**

We provide external audit services to a portfolio of public and private entities.

Our external audit team is vastly experienced and uses a methodology designed to align our audit processes to our clients’ key business objectives and associated risks. We focus our audit work on key financial areas rather than spending significant time on routine transactions. This enables us to undertake a more efficient audit approach and ensures the impact of any changes in legislation and accounting standards are determined early in the audit process. Our methodology complies with Australian Auditing and Assurance Standards and we currently provide external audit services to a number of State Government entities.

Our public sector external audit services are designed to assess compliance with the Public-Sector Management Act 1994, the Financial Management Act (FMA) 2006, associated Treasurer’s Instructions (TI’s), the Auditor General Act 2006, the Local Government Act 1995 as well as all relevant Australian Accounting Standards.

## **Industry Certifications and/or Qualifications Relevant to the Category**

- Our Director Audit and Assurance is a Registered Company Auditor, has a Bachelor of Commerce and is a Chartered Accountant (Institute of Chartered Accountants Australia and New Zealand) and Fellow Governance Institute of Australia.
- Our Internal Audit Managers are both Chartered Accountants (Institute of Chartered Accountants Australia and New Zealand) and are members of the Institute of Internal Auditors.
- Our External Audit Manager and Supervisors are Chartered Accountants (Institute of Chartered Accountants Australia and New Zealand).

## **Industry Partners or Affiliations**

- Institute of Chartered Accountants Australia and New Zealand (ICAAANZ).
- Certified Practising Accountants (CPA) Australia.
- Association of Chartered Certified Accountants
- Institute of Internal Auditors.
- Governance Institute of Australia