



Government of **Western Australia**
Department of **Finance**



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Contractor Profiles

Audit and Financial Advisory Services
(CUA AFA2018)

Category D – Risk Advisory Services



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2020 Global Business Consultants



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About Us

2020 Global is a multi-disciplinary consultancy practice that maintains high levels of client focus and business ethics. As consultants we ask the right questions, find the answers and can implement solutions across organisations. 2020 Global provides a whole of business service, from analysis to solutions and support and has an experienced business consultancy team that is knowledgeable, curious, energetic and solution-driven.

We assess, we advise, we do.™

Skills, Experience and Services

The team at 2020 Global has the knowledge and experience with risk advisory services in the WA State Government. The following services have been provided to agencies:

- Risk Management policy and documentation development.
- Risk Facilitation – risk workshops for risk identification, controls assessment and treatment actions.
- Audit of risk management frameworks, registers, treatment action plans and reporting mechanisms.

Industry Certifications and/or Qualifications Relevant to the Category

Certified Practising Accountants, Chartered Accountants, Certified Internal Auditors, Certified Fraud Examiners, Certified Risk Management Advisers, Chartered Taxation Adviser, Registered Tax Agents.

Industry Partners or Affiliations

- Institute of Internal Auditors
- Institute of Chartered Accountants – ANZ
- CPA Australia
- Association of Certified Fraud Examiners
- The Tax Institute

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About Us

A&P Advisory is a company focused on the provision of internal audit, risk management, assurance and governance services. We operate from Perth and have a flexible resourcing model that allows personnel to be deployed in Perth and Regional WA.

We are passionate, dedicated professionals that focus on delivery. Our staff have worked in leadership positions in professional services firms and industry and understand how to deliver meaningful, relevant and insightful risk advisory services.

Skills, Experience and Services

Skills and experience: Our delivery team has extensive local and international risk management experience, focused on the government, not for profit and resources sectors. We are skilled in the application of risk management standards (e.g. ISO 31000 and Treasurer's Instruction 825 Risk Management and Security). We are experienced workshop facilitators and use effective tools to maximise their efficiency and value. We have executed organisation-wide risk improvement projects (e.g. developing policies and procedures, embedding risk indicators and risk reporting, application of the three lines of defence model), run project based risk reviews and provided customised risk training & coaching.

Services: We can help support the identification, assessment, treatment and reporting of risks (strategic, operational and financial). We are able to run enterprise-wide risk improvement projects including the design and implementation of suitable risk policies and procedures. We can complete discrete project or program based risk reviews.

Industry Certifications and/or Qualifications Relevant to the Category

Fellow of the Governance Institute (FGIA), Certified Internal Auditor (CIA), Chartered Accountant (CA), Certified Risk Management Assurance (CRMA), Certified Fraud Examiner (CFE), Fellow of the ICAEW Associated Chartered Accountant (ACA)

Andrew Burchfield Consulting



About Us

I can either implement a new risk management framework or review and enhance an existing framework to ensure compliance with both the international AS/NZS ISO31000 Risk Management Standard and Treasurer's Instruction 825.

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My services also cover Major Project, Disaster Recovery, Business Continuity and detailed OSH Risk Management Plans.

Skills, Experience and Services

I provide quality, experience and a practical approach that represents value for money. I also have a proven track record of meeting deadlines and not invoicing for amounts in excess of what I have quoted.

I have been head of Risk Management, Internal Audit and Governance areas in the Commercial and all Government Sectors. And have been a successful consultant for 5 years in these areas.

I have presented professional development course and made conference presentations on audit, risk and governance for over 20 years.

Industry Certifications and/or Qualifications Relevant to the Category

I am a Fellow of the Institute of Internal Auditors (IIA) and of the Society of Certified Practising Accountants (CPA) and a member of the Risk Management Institution of Australasia (RMIA). I am a former Councillor of both the IIA and the RMIA and a past member of their Australian Committees on Professional Standards and Professional Development. I have the post graduate global qualification of "Certified Internal Auditor" with the IIA.

I am currently the independent member of the Audit and Risk Management Committees of the City of Swan and the Southern Metropolitan Regional Council and a member of the Risk and Governance Committee of Edmund Rice Camps WA.

Industry Partners or Affiliations

I am a sole practitioner. I have no industry partners or affiliates as I prefer to give my clients the certainty that if they accept my quote they will solely obtain my services and not that of less experienced employees or sub-contractors.

AOT Consulting Pty Ltd

AOT Consulting



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About Us

AOT Consulting Pty Ltd is a professional services firm established in 1989 to provide independent commercial, financial, audit, risk, management and technology consulting services.

As an independent professional services firm, we have specifically designed our professional services to assist clients at different stages of an initiative's lifecycle, from conceptual and strategic planning stages through to the implementation of initiatives and beyond. Our independent advice allows the outcomes to be defined based on the business requirements, giving a totally unbiased service.

Since commencing business we have undertaken over 3,000 consulting projects, most of which have been for government agencies.

Skills, Experience and Services

AOT Consulting's Risk Advisory Services are scalable and applied in the context of business/business unit, procurement, project, program or initiative. The scope of services include risk reviews, assessments and facilitation services including advice and assistance in defining and assessing risk categories and treatments, workshops and training. Reviewing risk management frameworks is also a service provided under this category.

In all projects, the purpose of undertaking risk assessments is to assist organisations to identify the risks initially; consider the strategies to manage those risks and the adequacy of those strategies; and the impact assessments to the organisation.

The risk management methodology used is AOT Consulting's Risk Assessment Methodology, which is predicated on the Australian and New Zealand Standard for Risk Management (AS/NZS ISO 31000:2009) and consistent with the RiskCover Risk Management Guidelines. Each risk assessment takes into consideration a client's Corporate Risk Management Framework.

As part of the risk assessment process, we take into consideration further risk treatments and opportunities for improvement identifying the actions required to reduce potentially high risks. Some of these actions can be incorporated into current planning processes and/or include identification of further responsibilities or resources. The risk assessment processes we have undertaken with the stakeholders have also identified opportunities for improvement.

The nature of each stage of an initiative is taken into consideration as the risks and the impact of the risks will invariably change depending on the progress of the initiative, often requiring further refinement. In undertaking an assessment of risks, we identify the assumptions and contingent action to best address and respond to identified threats. Where the identified controls are assessed to be inadequate, we also determine with the client what treatment action plans should be established.

AOT Consulting has undertaken several projects to assist agencies in developing Commercial Risk Allocation Strategies to identify options for the delivery of a project or acquisition. As part of assessing the options, we work with the client to identify, assess and quantify the risk. The quantification process for the risk often includes costing the risk. The options inform the scope to be allocated between the parties. Once an option has been identified a further risk assessment is undertaken on the specific model.

AOT Consulting has worked with RiskCover over the past years and has skills, knowledge, expertise and experience in quantifying the insurable risks, by providing RiskCover with further specific information it may require to determine liabilities, indemnities and releases and insurance values and providing input based on industry specific knowledge.

AOT Consulting can also incorporate the output from risk assessments and the preferred risk allocation model into commercial contracts by way of drafting the contract to articulate the risk allocation and to manage risks that may or may not be insurable.

AOT Consulting also has experience with Managing Successful Programmes (MSP®), M-O-R® and PRINCE2® and can assist with risk reviews and assessments when planning and managing programs and projects as well as for benefits realisation.

Industry Certifications and/or Qualifications Relevant to the Category

AOT Consulting holds the following members and qualifications relevant to risk advisory services:

- Association of Certified Chartered Accountants (ACCA).
- Memberships in Institute of Internal Auditors (IIA).
- Memberships in Risk Management Institution of Australasia (RMIA).
- Memberships in Australasian Evaluation Society (AES).
- Memberships in Chartered Institute of Procurement and Supply (CIPS).
- International Association of Facilitators (IAF).
- ISO9001 Accredited (BVQi).

Consultants hold a combination of BSc (Hons) Degree in Applied Accountancy; Masters of Commerce (International Business); Bachelor of Liberal Studies (Sociology and Psychology); BSc in Biochemistry; Bachelor of Commerce, Majoring in Accounting and Human Resource Management; and Postgraduate studies in Computing and ICT.

Industry Partners or Affiliations

To maintain a policy of independence, AOT Consulting does not have any partnerships or affiliations. The services provided are completely independent of other parties.

Assurance Advisory Group Pty Ltd



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About Us

Assurance Advisory Group (AAG) is a Pty Ltd company established in April 2015. We have established offices in Perth, Melbourne and Darwin. We also deliver services to Commonwealth agencies in Canberra.

Our Perth office is resourced on a full-time basis by Stephen Linden (founding director) and Executive Directors, Glenn Stroud and Greg LeGuier. Each of our directors has in excess of 20 years consulting experience in the public sector. We have formal working relationships with subject matter specialists in Human Resources (including OHS, Safety) and Procurement to complement our full-time resources.

We have a strong track record in the delivery of all of the services included in the CUA. We are especially strong in internal audit, probity, risk management, procurement, fraud / forensic investigations, project management and financial accounting. Client industries have included: Health, Education, Transport (including ports, rail), Utilities, Construction, Welfare Agencies, Regulatory Agencies, Local Government.

Our staff have significant experience with, and understanding of, the FMA, Treasurer's Instructions, State Supply Act, Public Sector Management Act and other relevant legislation and policies.

Skills, Experience and Services

As our name suggests our focus is on providing assurance services including, but not limited to:

- Performance Audit
- IS audit
- Assurance training
- Data analytics (we utilise IDEA)

... and **advisory** services including, but not limited to:

- Probity advisory
- Procurement services
- Funding / pricing reviews
- Efficiency reviews, including process and function mapping
- Project reviews and training
- Change management

Industry Certifications and/or Qualifications Relevant to the Category

Our professional staff hold a range of relevant industry certifications and qualifications including:

- Certified internal auditor
- Certified practising accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

Industry Partners or Affiliations

We hold a number of affiliations and arrangements with other organisations that enable us to draw upon additional resources and expertise when required.

- Protiviti (a global internal audit, risk and information systems consulting firm); and
- Quantum Consulting (a local boutique consulting firm)

Australian Audit Pty Ltd

AUSTRALIAN
AUDIT 

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About Us

Based in Perth CBD, Australian Audit has recently merged with a well-established and recognised WA based auditing practice, Avant Edge Consulting to form one firm under Australian Audit. With the merger, Australian Audit is now well placed to also provide financial audit, grant acquittal audit, internal audit, probity and risk management services to both WA state government and local government departments and agencies, including WA Universities.

Australian Audit is competent in all areas of audit and assurance services whether conducted in accordance with Australian Auditing Standards and/or the International Standards for the Professional Practice of Internal Audit (IIA Standards)

Skills, Experience and Services

Australian Audit is primarily an Audit-focused firm with four Directors, an Associate Director, Internal Audit, Probity and Risk and over 20 professional audit staff. The firm has some 100 years of combined audit and accounting experience in all areas including state government, local government, not for profit associations and registered charities and private companies.

Australian Audit specialises in the following audit services:

- Internal Auditing
- Financial Statement Auditing
- Financial Grant Acquittal Auditing
- Forensic and Fraud Investigations
- Compliance Audits
- Probity Advisory and Audit
- SMSF Audits.

Industry Certifications and/or Qualifications Relevant to the Category

All Australian Audit Directors are Registered Company Auditors, and our staff are either CA or CPA qualified or in the process of being qualified.

The Associate Director, Internal Audit, Probity and Risk is a professional member and a fellow of the Institute of Internal Auditors Australia, an internal audit activity external quality assurance provider and a fellow of CPA Australia.



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About Us

BDO is an accounting network with over 155 partners and directors and 1,200 employees across Australia. BDO offers a wide range of business and corporate advisory services to Government & Public Sector entities. Our service style sets us apart from our competitors in that our service is delivered by an experienced and senior professional team that you will have direct access to throughout the engagement. This enables us to collaboratively plan required work, identify key issues and prioritise your service needs whilst maintaining a cost-efficient price. Our Western Australia office, based in Subiaco, has 21 Partners and 230 employees.

Skills, Experience and Services

The BDO team perform Risk Advisory Services in line with BDO's Global Risk Management methodology which is in accordance with the most recent guidance provided by the Risk Management Institution of Australasia, Western Australian Government Risk Management, COSO, AS/NZS ISO 31000 and relevant Treasurer's Instructions.

Our team has the skills and experience to deliver risk reviews, assessments and facilitation services including advice and assistance in defining and assessing risk categories and treatments, workshops and training.

Specifically, we offer services to ensure that:

- There are procedures in place for the periodic assessment, identification, and treatment of risks inherent in the operations of the agency;
- Suitable risk management policies and practices are developed;
- An appropriate level of security is maintained over money, public and other property of or under control of the agency, including information held and intellectual property developed and controlled by the agency; and
- These procedures, policies and practices are documented in the financial management manual or other relevant policy manuals.

Industry Certifications and/or Qualifications Relevant to the Category

Members of our team hold the following qualifications:

- Chartered Accountant in Australia and New Zealand (CA)
- Bachelor qualifications in Commerce
- Bachelor qualifications in Science – Cyber Forensics, Information Security and Management
- Prior Council Member of the Institute of Internal Auditors in Western Australia
- Certified Information Systems Auditor (CISA)
- Certified Information Security Manager (CISM)
- Certified in Risk and Information Systems Control (CRISC)
- Certified in the Governance of Enterprise IT (CGEIT)
- ISO/IEC 2700 Practitioner
- Certified Process Professional (CPP)
- Certified Enterprise Architect
- Diploma- Internal Audit
- Certificates in Prince2, Agile PM, COBIT, ITIL, AC
- ISACA – Information Systems Audit and Control Association
- Professional Member of Institute of Internal Auditors (PMIIA)
- Post Graduate Certificate in Internal Auditing – Institute of Internal Auditors, Australia

Industry Partners or Affiliations

- Chartered Accountants Australia and New Zealand (CAANZ)
- Council Member of the Institute of Internal Auditors in Western Australia
- Member of the Institute of Internal Auditors
- Member of the Risk Management Institute of Australia

Blue Zoo



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About Us

Blue Zoo is an established Australian professional services firm, founded in 2006, that operates nationally and internationally with a large team of advisors experienced in assisting businesses with a range of specialised management advisory services (business and technology) within four broad pillars: Governance, Strategy, Sustainability and Risk. These are the underlying themes in all that we do, and in the skills of the advisors that we employ.

Blue Zoo has an established presence in many large public and private sector organisations through a commitment to delivering consistent and measurable value to our clients. These include 150+ clients in Australia as well as Fortune 500 multi-national corporations and foreign government agencies across Asia.

Our extensive client base spans most industries and includes, state and local government, mining and resources, financial services, health, education, and energy, manufacturing and construction, and not for profit service providers.

Skills, Experience and Services

“We believe that successful risk management is a living and dynamic process that is embedded throughout the organisation enabling informed decisions and achievement of business objectives at all levels”

Risk management involves managing to achieve an appropriate balance between realising opportunities for gains while minimising losses. It is an integral part of good management practice and an essential element of good corporate governance. It is an iterative process consisting of steps that, when undertaken in sequence, enable continuous improvement in decision-making and facilitate continuous improvement in performance.

Blue Zoo’s adopted thinking and approach is based upon the International Standard for Risk Management: ISO 31000:2009 in conjunction with other best practice risk management framework, standards and procedures and in house developed methodologies.

Blue Zoo’s advisors can assist your organization in a number of areas including:

- Risk Governance and Boards
- Risk Management Framework and Systems
- ISO 31000 Capability Maturity Assessments
- Compliance Management and Assessments
- Organisational Resilience and Continuity
- Risk Assessments and Facilitation

Industry Certifications and/or Qualifications Relevant to the Category

Our team maintains a range of relevant qualifications including:

- Graduates of Australian Institute of Company Director’s (AICD) Course

Braxford Consultancy Pty Ltd



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About Us

Braxford has undertaken work across the WA State Public sector and have developed a broad and deep understanding of WA State Government Functions, Departments, programs and Activities. We enjoy assisting government organisations to think about their business and consider the level of risk that goes with it. We pride ourselves on assisting Departments to develop sensible cost effective risk mitigation strategies. Whether operational or strategic risk we can consistently provide a highly experienced team at a very reasonable price.

Skills, Experience and Services

Our senior team members have participated or led the implementation of a substantial number of Enterprise Wide Risk Management Frameworks consistent with the requirements of ISO 31000 (Formerly AS 4360). Our team members have considerable experience working in and with the WA public sector as well as the wider not for profit sector and private industry. We not only provide Risk Management review services but also corporate risk management training services.

Industry Certifications and/or Qualifications Relevant to the Category

- Master of Accounting (Curtin), Certified Practising Accountant and Member of Institute of Internal Auditors
- Bbus, Registered Company Auditor, Registered Taxation Agent
- Fellow CA (Au and NZ), Member IIA, Certified Information Systems Auditor (CISA)
- Accredited Probity Service Provider – Resolution Institute
- Bachelor of Commerce, University of Western Australia, PhD in Accounting, Curtin University, Institute of Chartered Accountants Australia & New Zealand

Industry Partners or Affiliations

- Chair Audit & Risk Committee Racing & Wagering WA
- Member of Audit & Risk Committee Art Gallery of WA

Crowe



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About Us

Crowe is ranked among the top 10 global accounting networks with over 150 independent accounting and advisory services firms in more than 100 countries around the world. Crowe is a leading provider of audit, assurance and consulting services and is committed to impeccable quality and standards.

Crowe has a track record of delivering great service, high quality team members, specialist expertise and a great value for money outcome for our clients. Our team of risk advisory specialist provides services to numerous Public Sector entities in Western Australia and across Australia where we have gained an in-depth understanding of the risks, challenges and opportunities of conducting business within these sectors.

Skills, Experience and Services

Risk compliance is more than a tool to provide assurance over internal controls. Implemented effectively, it can assist in the identification of business improvement and organisation efficiencies. Crowe's risk management approach is aligned to and consistent with the AS/NZ ISO 31000:2009, incorporating the principles of risk management and assessing risks using the risk management process, and evaluating maturity using Crowe's Risk Management Framework Maturity Assessment Evaluation Tool.

We offer our clients a pragmatic, yet comprehensive approach to risk – one which ensures you can extract the maximum benefits from your investment. Our approach is not just about performing compliance reviews, but about embracing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes. We are experienced in all areas of risk services including risk workshops and facilitation, risk assessments, identification of treatments and consideration of residual risk.

We can assist the Board, Audit Committee and management with:

- Assessment whether the risks within the organisation are understood and managed;
- Identification of emerging risks that arise from dynamic business environments;
- Linking risk management to operational goals and strategic objectives;
- Consideration of, and support for the appropriate risk culture;
- Obtaining greater transparency into enterprise-wide risks;
- Establishing accountability for management of specific risks;
- Addressing high priority concerns of board members and other key stakeholders; and
- Assurance regarding compliance with policies and regulations whilst reviewing the reliability and integrity of information.

Our service commitment

- Our commitment is to deliver risk advisory service excellence to our clients by providing:
- An investment in understanding your business, strategies and goals to enable the accurate assessment and prioritisation of risks;
- A consultative approach to project planning, ensuring all issues are identified and addressed and any disruption to your business is minimised;
- An understanding of your risk culture;
- Practical and relevant recommendations that foster business improvement and promote best practice and innovation;
- Tools and methodology resources as aids to meeting your needs; and
- The provision of risk advisory services in accordance with the existing Western Australian Government Risk Management Guidelines.

Our services provide a valuable resource that builds a strong foundation for improved oversight and corporate governance which assists management and the board in achieving overall goals and objectives.

Industry Certifications and/or Qualifications Relevant to the Category

Our Crowe team have professional memberships from recognised professional bodies such as the Institute of Chartered Accountants Australia and New Zealand, Certified Practising Accountants Australia, Institute of Internal Auditors and Information Systems Audit and Control Association.

Industry Partners or Affiliations

With affiliations to our global network, Crowe relies on an approach to providing impeccable professional service that brings together teams of experts within each service discipline to act as thought leaders and advisors to the network. These network leaders provide global thought leadership on key issues and opportunities impacting multinational clients as well as set standards and best practices for service delivery.

Deloitte Touche Tohmatsu

Deloitte.

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About Us

Deloitte delivers a fundamentally different risk practice, addressing risk in new ways, predicting and building resilience within our clients' organisations and changing the view of risk professionals. Risk is inevitable, but knowing the worth of risk flips uncertainty into possibilities. Through Deloitte's intelligent risk advice we enhance our clients' capacity to act. We help clients embrace risk with confidence. We do this by helping them manage, challenge and evaluate their risk and controls strategies. We offer a team of risk advisors who bring a powerful combination of risk insights, business acumen and technology enabled know how to help bridge the gap between organisational ambitions and outcomes. Our value proposition is underpinned by the following key themes:

- Our risk philosophy of amplifying, demystifying and anticipating risk allows us to work with clients to seize, shape and sense their risk horizon
- Our use of contemporary data analytic techniques means we deliver profound fact-based insights and real actionable solutions, as opposed to the traditional analytical approaches that only reported on exceptions and siloed data
- Our focussed reporting means that we deliver actionable, pragmatic insights focused on key risks and strategies, rather than exception based reporting
- A collaborative approach with our clients as it enables them to be involved in identifying the root cause of issues and in developing the right action plans
- A senior and experienced team with a track record of putting clients at the centre and delivering pragmatic solutions. The depth and breadth of our team, as well as our industry connectivity, means we can help foresee, interpret and address the risks and challenges which our client face, both now and in the future
- The knowledge bank of a Big Four firm with diverse talent to **provide intelligent risk advice** that enhances our clients' capacity to act. The recent recognition by independent market analysts, our widely-read publications and the relevant forums we organise show our eminence and leadership in this space
- Value **for money and flexibility to suit client needs**. We recognise that a low fee alone does not guarantee value-for-money services. Value-for-money also requires the provision of quality services, experienced people and innovative and efficient approaches at an affordable rate.

Skills, Experience and Services

The portfolio of Risk Advisory services that we deliver is summarised below:

Services	We help organisations...
Corporate governance	Address marketplace expectations related to board oversight responsibilities.
Strategic risk	Identify trends and disruptors that could impact competitive advantage, market position and long-term performance.
Brand & Reputation risk	Identify, monitor and respond to internal and external risks that impact stakeholder perceptions of organisational brand and reputation.
Crisis management	Prepare for, respond to, and recover from crisis events, including crisis monitoring, execution of crisis plans, and crisis communications.
Sustainability	Develop, monitor, assure and report on sustainability missions that address key stakeholders' social, ethical, environmental and other non-financial needs.
Regulatory strategy	Develop strategies to anticipate, and adapt to, regulatory change.
Market & credit risk	Assess, design and implement: governance; processes; models; data and technology; and reporting related to market and credit risk management including transaction valuation and public offerings.
Capital management, liquidity & treasury risk	Assess, design and implement: governance; processes; models; data and technology; and reporting related to capital, liquidity and treasury risk management.
Accounting & financial reporting risk	Transform and conform accounting, finance and reporting processes and controls to improve integrity, transparency and efficiency.
Conduct risk	Assess and evolve the organisation's values, beliefs, tone, conduct and understanding of risk culture in dealings with stakeholders.
Operational risk & transformation	Develop frameworks that include common risk taxonomy, loss event reporting, business and IT process and controls assessment methods, and enabling tools and technologies.
Technology & data risk	Implement enterprise-wide technology and data management frameworks to enable the Board and senior management in their risk related oversight and decision making responsibilities.
Cyber strategy	Guide investment and ongoing management of cyber risk programs including risk assessments, threat awareness and implementation of Governance Risk Compliance solutions.
Cyber resilience	Prepare to handle critical cyber incidents, return to normal operations and repair damage to the business

Industry Certifications and/or Qualifications Relevant to the Category

Internal Auditors

Industry Partners or Affiliations

Institute of Internal Auditors, Information Systems and Controls Association

Ernst & Young



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About Us

EY is one of the largest professional services firms in WA, operating as part of global firm comprising more than 250,000 personnel. Our services help clients strategically assess, remediate and improve governance and control processes, while also elevating the profile of audit and financial advisory services in identifying and addressing complex risk areas.

Our ability to bring innovative ideas and advisory support, greater technology enablement and broad subject matter experience in complex areas allows us to help clients gain significantly enhanced value from our services.

Our global methodology features:

- A proven, consistent global approach, enabled by technology
- A focus on higher-risk issues with integrated subject matter resources
- Governance and execution protocols with the rigor to drive change
- An emphasis on flexible risk assessment and on continuous communication
- Key performance indicators that drive accountability and performance

Skills, Experience and Services

We enhance business performance by transforming the way our clients manage risk. We help clients identify, prioritise and design frameworks to manage risks and improve the effectiveness and efficiency of their risk management programs.

We provide:

- Deeper risk insights and more in depth C-level discussions
- Value to enable management to make informed decisions that drive performance, protect the business and improve operations
- More confidence that there is strong focus on managing risk across the organisation

Our services include:

- Enterprise risk management – Our Enterprise Risk Management (ERM) services help our clients move towards an insight-driven and performance-oriented approach to risk management – one that becomes intrinsic to the business and is embedded in key business processes. The EY approach to ERM is an evolution from strictly value protection and compliance to the additional focus on value creation via integration with business performance metrics. In effect, the focus has come to centre on avoiding or managing threats, as well as maximising value opportunities to drive enhanced business performance and growth. Our ERM services include diagnostic and benchmarking, enterprise risk assessments, risk appetite and tolerance, risk modelling and capital allocation support and risk coordination and integration with other lines of defense.
- IT risk management – We help clients evaluate, design, and implement IT risk management programs to support their management of IT risk and regulatory compliance requirements.
- Cyber risk management – We guide clients through the technology, legal and regulatory issues within the cybersecurity landscape. We also help clients transform their organisation and provide the subject matter, cybersecurity knowledge and expertise that is critical to protect business and brand. Our capabilities include cybersecurity transformation, cyber threat management, identity and access management, data protection and privacy and resilience.
- Supplier risk management – We help clients assess, design, and implement their supplier risk management programs that help manage and respond to third-party risks.
- Program risk management – Our program risk management services help our clients identify, manage and respond to program risks in order to improve program execution, build confidence and increase the likelihood of successful outcomes.

Risk managed services – We help clients improve their risk and compliance activities through our managed services offerings so that they are better positioned to achieve their strategic objectives at a predictable cost.

Industry Certifications and/or Qualifications Relevant to the Category

Our risk advisory services will be delivered in accordance with the Western Australian Government Risk Management Guidelines and relevant international risk management standards and frameworks such as ISO 31000 and COSO.

Our personnel have a range of certifications, qualifications and professional memberships across an array of relevant professional organisations, including the following: Institute of Internal Auditors Australia; Chartered Accountants Australia & New Zealand; Information Systems Audit and Control Association; Institute of Actuaries of Australia; Business Continuity Institute; Risk Management Institute of Australia; and Australian Institute of Company Directors.

Examples of the professional qualifications / certifications held by our personnel include: Chartered Account; Certified Practising Accountant; Graduate of the Australian Institute of Company Directors; Certified Information Systems Auditor; Certified Internal Auditor; and PRINCE2 Practitioner.

Industry Partners or Affiliations

Risk Management Institute of Australia



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About Us

We recognise that risk, assurance and regulation are intrinsically linked and provide integrated services based on the technical skill, operational and business know-how, management and experience of our 8500 staff and 200 operating locations. We understand that risk, business and regulatory performance is influenced by many factors including asset design and performance, operations, effectiveness of controls, people and culture. We help our clients understand and better manage these diverse and competing components to improve operational efficiency, enhance business performance, provide stakeholders with assurance on operations and enhance businesses' license to operate. Our advice is supplemented by assurance and technical audit services. This complements our ability to advise our clients on risk, assurance, compliance and regulation across the business life cycle.

We bring global leading practice to your local operations having worked with clients in managing their risks in many locations, enhancing business operations and safety from the boardroom to on the ground projects. Working with our clients to assess and manage risks, significantly reducing the likelihood of target events occurring, we provide you with confidence from the benefit of our experience and shared knowledge.

Skills, Expertise and Services

Our risk team has the skills and experience to provide the following services:

- Accident and Incident Investigation
- Audits – Risk (AS/NZS ISO 31000), Safety (AS/NZS 4801) and Quality (AS/NZS ISO 9001)
- Board / Executive / Strategy / Business risk
- Bowtie Analysis
- Business Continuity (AS/NZS 5050)
- Climate Change Risk
- Consequence Modelling
- Construction Hazard Assessment Implications Review (CHAIR)
- Control Hazard and Operability (CHAZOP)
- Crisis and Emergency Management
- Dangerous Goods Licencing
- Data automation
- Database development
- Enterprise wide risk management

- Expert Witness
- Failure Modes Effect Analysis Failure (FMEA) Modes Effect and Consequence Analysis (FMECA)
- Fault Tree Analysis
- Functional Safety (TUV Rheinland)
- Hazard Identification (HAZID) / Hazard and Operability (HAZOP)
- Human Factors and Ergonomics
- Layer of Protection Analysis (LOPA)
- Major Hazards Facility
- Monte Carlo
- Multi Criteria Analysis (MCA)
- Pipeline Safety Management Study (AS 2885)
- Process Safety Management
- Project Risk Assessment
- Qualitative Risk Assessment
- Quantitative Risk Assessment (QRA)
- Reliability, Availability, Maintainability, and Safety (RAMS)
- Risk Management
- Safety Case
- Safety in Design
- Safety Integrity Level (SIL)
- Safety Management Systems
- Safety and Operability (SAFOP)
- Semi Quantitative Risk Assessment (SQRA®)
- Software Development
- Software Programming
- SQL Server
- Workshop Facilitation

Software used to conduct our services is Bowtie XP, PHA-Pro, Plato, Phast (consequence), Safeti (risk), @Risk, SQRA® database

JLT Australia



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About Us

Our experienced risk specialists are strongly positioned to assist your organisation by delivering a suite of risk services that will enable organisations to achieve key risk management and compliance objectives.

Jardine Lloyd Thompson (JLT) is one of the world's leading specialty focused providers of risk management services as well as insurance, reinsurance, employee benefits related advice and broking. With a local presence, a national footprint and an international network, JLT's Risk Management team has in depth experience across multiple sectors, working alongside clients and industry specialists to deliver expert advice and support.

JLT's risk specialists go beyond the simple evaluation of risk to support organisations through engaging and working with you to develop and implement risk management strategies to mitigate your risk exposures.

In Western Australia, you have access to a team of 18 risk professionals who deliver riskbased services across a wide array of disciplines. The team includes legal practitioners, risk consultants, workplace safety specialists, human resource consultants, psychologists and program support personnel.

Your organisation will benefit from our knowledge, skill and understanding of risk. We bring extensive experience in working with public sector and not for profit organisations. We bring hands-on experience in successfully delivering innovative risk management solutions to suit the evolving risk profile of all clients.

JLT is the major provider of risk advisory services to the Local Government Sector in Western Australia through LGIS. Since 1972, we have been providing insurance and risk management services to government at all levels, through our dedicated Public Sector team. We are currently providing services to over 450 Councils nationally, as well as State and Federal agencies including the Department of Education and Training in Victoria, iCare and Tasmanian Risk Management Fund (TRMF).

Skills, Experience and Services

JLT's Risk Management team work closely with organisations to develop a practical approach that allows you to effectively manage the uncertainty of risk in a proactive and systematic manner.

Our focus is to target specific areas, and positively influence the challenges associated with risk transfer and risk mitigation.

Our team of experienced Risk Consultants specialise in:

- Organisation and strategic risk management
- Business Continuity
- Professional Risks
- Liability risk management
- Emergency management
- Occupational safety and health; and
- Human resource risk management

We are not restricted to these programs and bring further capability in:

- Cyber Insurance Profiling and Awareness Training
- Fleet risk management
- Workplace rehabilitation and injury prevention; and
- Property valuations and assessment.

Industry Certifications and/or Qualifications Relevant to the Category

JLT is a long-standing and current member of the Australian Institute of Risk Management (AIRM). This membership provides our staff with access to further education, technical support, industry discussions and research activity.

Our Consultants hold qualifications in their relevant fields as well as professional membership to industry bodies such as the Risk Management Institution of Australasia and the Business Continuity Institute.

Industry Partners or Affiliations

We partner with the Western Australian Local Government Association to provide risk financing and risk management solutions to local government.



KPMG Australia Partnership



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About Us

KPMG is a global network of professional firms providing Audit, Tax and Advisory services. Our Western Australian government team has extensive experience delivering audit and financial advisory services across many sectors. Clients call upon us for small discrete engagements through to large complex programs of work; and urgent requests.

We have the capacity and diverse capability to respond to a wide range of needs relating to the management of risk across the strategic, enterprise, business unit and program / project / initiative / procurement levels. We have worked across the WA Public Sector (and nationally more broadly) to assist our clients develop and implement sustainable, practical and effective risk management frameworks, systems and processes that are aligned to Western Australian Government Risk Management Guidelines and Treasurer's Instruction 825.

Skills, Experience and Services

Risk Advisory Services capabilities include:

- Development, review and implementation of efficient and effective Enterprise Risk Management (ERM) frameworks;
- Alignment of ERM systems to Western Australian Government Risk Management Guidelines and Treasurer's Instruction 825;
- Facilitation of risk identification and assessment workshops; development and review of risk profiles at the strategic, enterprise, business unit and program / project / initiative / procurement levels (including risk categories and treatment plans);
- Facilitation of risk treatment planning; and
- Assisting management map complex risk networks and risk connectivity in complex environments.

Advice on discreet components of ERM, including but not limited to:

- Assistance in identifying current and emerging industry risks;
- Improving the quality of risk information to support reporting and decision-making;
- Definition of risk governance structures and roles and responsibilities; and
- Delivery of tailored knowledge sharing and training sessions.

Industry Certifications and/or Qualifications Relevant to the Category

Our consultants hold a wide range of qualifications and certifications relevant to this category, including:

- Chartered Accountant (CA-ANZ)
- Certified Practising Accountant (CPA)
- Graduate Certificate in Applied Risk Management
- Certification for Risk Management Assurance (CRMA)
- Bachelor Degree in Risk Management and Insurance
- PRINCE2 Practitioner

Industry Partners or Affiliations

KPMG has corporate membership of various industry bodies, and many of our consultants hold individual memberships with, or are affiliated to, organisations such as:

- Chartered Accountants Australia & New Zealand (CA-ANZ)
- CPA Australia (CPAA)
- Institute of Internal Auditors (IIA)
- Governance Institute of Australia – Subject Advisory Committee for Applied Risk Management
- Risk Management Institute of Australasia (RMIA)

McGrathNicol Advisory



McGrathNicol

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About Us

We assist corporate and government entities manage their response to ever-increasing scrutiny. We provide independent advice to Chairpeople, Audit and Risk Committees, CEOs and CFOs. We work with Boards and senior management to identify areas of opportunity to strengthen and develop effective governance practice.

Skills, Experience and Services

McGrathNicol's skills include:

- Review of methodologies and forecasting assumptions and inputs;
- Review of internal risk and governance models; and
- Assessment and advice for government procurement.

Industry Certifications and/or Qualifications Relevant to the Category

McGrathNicol qualifications and certifications include:

- Graduate Diploma Chartered Accounting

Industry Partners or Affiliations

McGrathNicol and its staff have an association with the Governance Institute of Australia and Chartered Accountants Australia New Zealand.

Moore Australia (WA) Pty Ltd

as Agent for the Moore Australia (WA) Partnership of Trusts (formerly Moore Stephens (WA) Pty Ltd)
Moore Australia Audit (WA) Partnership



MOORE. THE NEW BRAND FOR MOORE STEPHENS

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About Us

Moore Global is one of the world's major accounting and consulting networks, comprising 579 offices of 255 member firms across 114 countries, with more than 2,600 Partners and 28,000 staff servicing clients for over 60 years. Our WA firm has approximately 100 staff including 14 Partners in Audit and Assurance, Corporate Finance, Business Advisory, Local Government Advisory and Tax Consulting.

Our global audit methodologies are compliant with the Auditing and Assurance Standards and Standards for the Professional Practice in Internal Auditing issued by the Institute of Internal Auditors in Australia, (where relevant) and are scalable from small to global entities to ensure an efficient and effective audit approach.

Moore Australia

Moore Australia is an audit, accounting, tax and advisory firm providing astute advice and practical solutions, to consistently deliver solid results. With our firm on board, you operate at your best, enabling you to achieve your goals. Moore Australia provides services under this Category of the CUA via Moore Australia (WA) Pty Ltd as Agent for the Moore Australia (WA) Partnership of Trusts and the Moore Australia Audit (WA) Partnership.

We have a strong understanding of the Financial Management Act, Treasurers Instructions, Public Sector Management Act, Local Government Act and their related regulations, other relevant legislation and policies.

We have a Partner with approximately 10 years of Executive experience with the Office of the Auditor General in WA who will bring significant value and insight to your risk advisory services.

The Moore Australia team are highly skilled to provide risk advisory services to government entities. We have been trusted advisors and experience with many businesses, state and local governments and not for profit organisations for nearly four decades, providing advice customised to meet the needs of our clients. Our success is derived from our ability to understand the challenges that our clients face and provide intelligent solutions. We combine genuine client engagement with astute advice.

We offer a wide array of services and have specialist knowledge in many industries and sectors.

Skills, Experience and Services

Risk advisory services are delivered using an integrated, insight driven and performance oriented approach to risk management that is embedded in the organisation applying best practice industry standards for risk management. We use data analytics to provide valuable insights into client's risks rather than traditional techniques reporting on exceptions identified through sampling. Moore Australia risk advisory services include:

- Organisational risk culture assessments
- Strategic risk management reviews
- Brand and reputational risk reviews
- Risk management policy and documentation development
- Board technical advice, support, training and education
- Audit & Risk Committee secretariat services, technical advice/support, training and education
- Organisational risk training and education via workshops, seminars, webinars, videos
- Management of enterprise risk management projects
- Audit of risk management frameworks, registers, treatment action plans
- Discrete project or program-based risk reviews
- Fraud risk reviews and assessments
- Accounting and financial reporting risk reviews
- Risk capability maturity assessments and risk modelling
- Program risk review
- Business continuity and disaster recovery risk reviews
- Regulatory compliance risk review
- Market and credit risk reviews
- Sustainability risk review
- Cybersecurity risk assessments, capability and maturity reviews
- Technology and data risk reviews
- Compliance audits with the Australian & New Zealand Standards for Risk Management and consistent with Risk Cover Risk Management Guidelines

Better practice risk management reviews Industry Certifications and/or Qualifications Relevant to the Category

Australian Institute of Management (AIM) – Fellows

Australian Institute of Company Directors (AICD) – Graduates

Certificate Public Sector Management – Graduate

Certificate Infrastructure Asset Management – Graduate

Certified Internal Auditor (CIA)

Certified Practising Accountants (CPA) – Fellows, Members

Chartered Accountants Australia and New Zealand (CAANZ) – Fellows, Members

Diploma of Project Management

Graduate Certificate Infrastructure Asset Management

Governance Institute of Australia (GIA) – Fellows

Institute of Internal Auditors (IIA) – Associate Members

Institute of Public Administrators in Australia – Members Industry Partners or Affiliations

Moore Australia (WA) Pty Ltd is a member of both Moore Australia and Moore Global.



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About Us

Protiviti is a global consulting firm that helps companies solve problems in finance, technology, operations, governance, risk and internal audit, and has served more than 60 percent of FORTUNE 1000® and 35% of FORTUNE Global 500® companies. Protiviti and its independently owned Member Firms serve clients through a network of more than 70 locations in over 20 countries. In Australia Protiviti is a significant supplier to all Australian and State Governments. The firm also works with smaller, growing companies, including those looking to go public, as well as with government agencies.

Protiviti is a wholly owned subsidiary of Robert Half (NYSE: RHI). Founded in 1948, Robert Half is a member of the S&P 500 index.

We are the largest firm dedicated exclusively to providing independent risk consulting and internal audit services. At Protiviti, internal audit and risk consulting are our business, our primary focus, and our core competency.

We bring highly configurable solutions to our clients. Our clients tell us that what sets us apart is our ability and willingness to structure resourcing solutions specifically to their needs. We bring a combination of dedicated full-time risk professionals, contract talent and global resources to bring cost efficient and highly effective solutions.

Our global network is all part of a single organisation under one ownership structure. This gives us the ability to collaborate, communicate and respond quickly and seamlessly to our clients.

Protiviti has achieved phenomenal success in our 10+ years of business. This has been driven by our focus on being value partners with our clients. We proactively work with management teams to assess, design, and execute risk plans related to their business. When you need us we are there. We share ideas. We tap into our firm-wide resources to bring forward the best solutions.

Skills, Expertise and Services

Protiviti have the skills and expertise to offer a full suite of professional services under CUA AFA2018. This includes:

- Internal Audits
- Information Systems Audits
- Investigations / Forensic Audits
- Corporate Governance Reviews
- Project Assurance and Advice
- Data Analytics
- Risk Assessments, Risk Management Plans and Risk Reviews
- Business process re-engineering and improvement advice
- Probity Audits and Advice

- Procurement, Tendering and Commercial Advice
- Development of Policies and Procedures
- Preparation of Financial Statements and Annual Reports
- Financial Statements Analysis
- Financial Assessment Services

Industry Certifications and/or Qualifications Relevant to the Category

Our professional staff hold a range of relevant industry certifications and qualifications, including:

- Certified Internal Auditor
- Certified Practising Accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

Industry Partners or Affiliations

Protiviti is one of only 10 Principal Partners of the Institute of Internal Auditors globally.

Quantum Management Consulting & Assurance



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About Us

Quantum Management Consulting & Assurance (“Quantum”) is an audit, accounting and advisory group that specialises in providing independent assurance advice and solutions for the control, efficiency and effectiveness of business processes. The group has been operating successfully since 2005 and the Director has over 30 years’ professional audit experience.

Our core business is internal audit, accounting and related consulting services, particularly for State Government agencies in Western Australia, financial institutions and not-for-profit organisations.

Our goal is to build long-term relationships with our clients through delivering innovative and value-adding solutions to protect and improve our clients’ business.

We are totally committed to delivering high quality and timely internal audit services and business solutions.

A point of difference is that Quantum only employs experienced senior consultants with hands-on involvement of the Director which provides more in-depth and innovative outcomes than less experienced teams.

Quantum is also one of the few firms in Western Australia that provides IT audit services integrated with general audits so clients receive a complete solution based on analysis of the IT systems.

Quantum is a Chartered Accounting practice and holds a fully accredited Practising Certificate from Chartered Accountants Australia and New Zealand. The entrance requirements are high, and continuing annual professional development is a mandatory requirement.

Skills, Experience and Services

Quantum provides the full range of risk advisory services including:

- Development of Risk Management Policies
- Development of Risk Registers
- Risk Management Reviews
- Risk reviews of specific business processes
- Business Continuity Planning
- IT Disaster Recovery Planning

All services are in accordance with ISO 31000: Risk Management, Treasurer’s Instruction 825 and the Western Australian Government Risk Management Guidelines.

The Director and senior consultants are members of professional bodies including Chartered Accountants Australia and New Zealand (CAANZ), CPA Australia (CPAA), the Institute of Internal Auditors – Australia (IIA) and the Information Systems Audit and Control Association (ISACA).

Our experienced consultants can provide a tailored solution to meet your needs with a fixed price quotation. The hourly rates charged are fixed, with Quantum providing very competitive rates compared to other firms on the panel.

Industry Certifications and/or Qualifications Relevant to the Category

- Practicing Certificate – Chartered Accountants Australia & New Zealand
- Chartered Accountant
- Certified Internal Auditor
- Certified Information Systems Auditor

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About Us

Riskwest is a specialist management consulting firm providing advisory services in risk management and business continuity to a broad range of government, corporate, and community service organisations in Australia and across Asia. We partner with organisations to help them better identify, understand and manage uncertainty by providing a range of consulting services to assist public and private sector organisations in the development, implementation and validation of their risk management and business continuity capabilities.

Skills, Experience and Services

The strength of Riskwest lies in the experience of its people. The Riskwest team have extensive experience in professional leadership and consulting roles across multiple sectors including government, financial services, mining, oil and gas, petrochemicals, utilities, transportation, logistics, and community service organisations.

Industry Certifications and/or Qualifications Relevant to the Category

Our Partners have the following accreditations: Certified Practising Risk Manager RMIA (CPRM), Certified Insurance Professional ANZIF (CIP), Member / Approved Instructor Business Continuity Institute (MBCI), Graduate & Member, Australian Institute of Company Directors (AICD), Chartered Member, Institution of Occupational Safety & Healthy (CMIOSH). Certified Business Continuity Professional (CBCP), ISO22301 Lead Auditor.

Industry Partners or Affiliations

Licensed Training Partner of the Business Continuity Institute (BCI) and International Consortium for Organisational Resilience (ICOR)

RSM Australia Pty Ltd



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About Us

RSM is the largest mid-tier accounting firm in Australia, with 32 offices, over 80 partners and 1,100 staff. We are part of the RSM International network, the sixth largest audit, tax and consulting network in the world, with approximately 41,000 people in 800 offices across 120 countries.

Skills, Experience and Services

Governance audits - Reviews of risk management effectiveness, efficiency, output, productivity and style within a governance setting;

Project risk assessments - Defining and / or facilitating the identification, scoring and mitigation planning for risks to a process, project, function or organisation – often through risk workshops or surveys. This type of assessment can also involve assessing risk management frameworks against established standards e.g. ISO31000; and

Risk assurance mapping - Mapping levels of assurance to an organisation's strategic risks to identify further assurance needs and the level of assurance.

Industry Certifications and/or Qualifications Relevant to the Category

Member of Institute of Internal Auditors (IIA), Member of Australian Institute of Company Directors (AICD), Fellow/Associate of the Institute of Chartered Accountants Australia & New Zealand (FCA/ACA), Certified Practising Accountant Australia (CPAA).

Industry Partners or Affiliations

Risk Management Institution of Australasia (RMIA), Institute of Internal Auditors (IIA).

Stantons



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About Us

The Internal Audit/IT Audit and Risk Consulting arm of Stantons is well experienced in Risk Management audits, risk facilitation and risk workshopping. SI adopts a full “Enterprise Risk Management (ERM) thinking” in providing valuable services to clients. The Risk Consulting subsection is a dedicated component of the whole arm which takes a dedicated approach in dealing with client risk matters.

We have been providing risk consulting services for over 20 years using as a baseline initially the Risk Management standard AS4360 which is now updated to AS/NZS ISO 31000 as well as referencing the relevant state government policy and guidance such as Premier’s or Commissioner’s Instructions or equivalent.

We have conducted numerous risk reviews and workshops both within Western Australia and the Northern Territory over some very large projects such as the new Perth Children’s Hospital and Fiona Stanley Hospital.

Skills, Experience and Services

Under the leadership of our Principal, IT Audit and Risk Consulting/Internal Audit, Mr James Cottrill, Stantons is able to call upon a diverse range of skills and experience in providing Risk Advisory Services

Using relevant standards and acceptable guidance, Stantons provides ongoing risk management advisory services which ensures that the client maintains a fully functional risk management framework and practices. SI believes in a risk management approach which is consistent in recognising the value of a fully integrated risk management regime.

Stantons has maintained its unique value in providing the following services:

- Assisting new and changing environments set up risk management processes and practices
- Ongoing guidance on risk matters
- “Someone to talk to” when consequences are being felt
- Assisting organisations align priorities with objectives
- Ongoing feedback and alerts.

Stantons has vast experiences in applying risk standards and acceptable guidelines. Among others, Stantons has continued to apply the widely acceptable ANZS ISO 31000:2009 standard in providing a well understandable risk advisory service.

Industry Certifications and/or Qualifications Relevant to the Category

Stantons is a Chartered Accountant-certified organisation, which demonstrates a level of general rigour in our internal process and internal quality assurance (QA) processes. In addition to the organisational certification, across the personnel in the Internal Audit/IT Audit and Risk Consulting area that is responsible for program reviews and at SI the following relevant certifications and qualifications are represented:

- Senior Member Certified Professional Australian Computer Society
- Certified Internal Auditor (Institute of Internal Auditors Australia)
- Certified Information Systems Auditor
- Certified Practicing Accountant (CPA Australia)
- Chartered Accountant
- Master of Economics
- Bachelor of Business
- Master of Business Administration
- Post Graduate Diploma in Management
- Graduate Diploma in Business (Computing Studies)
- Bachelor of Commerce
- Bachelor of Business Administration.

Industry Partners or Affiliations

Stantons is an affiliate of the Russell Bedford International (RBI) group of companies, which is a global network of accounting/auditing firms, and tax and business advisors. At an international level, the network is represented by some 290 offices in more than 100 countries, including offices in each State in mainland Australia.

Through the RBI network, Stantons shares and has access to a national and international network of expertise, training materials and resources. Stantons actively participates in the network through regular representation and delivery of presentations at national and international RBI conferences and training sessions. Stantons International also a strategic partnership with the RBI affiliate based in Adelaide, Lee Green and Associates, including a collaborative partnership between the organisations based in Darwin.

The Nexus Network



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About Us

The Nexus Network is a network of highly skilled, experienced consultants specialising in helping organisations achieve their business objectives by thinking and acting strategically. Our consultants have been working with the WA Public Sector for more than 20 years, in that time consulting with over 40 State Government agencies. Nexus consultants have a deep appreciation of, and commitment to, the public sector, having worked in various government agencies prior to joining Nexus.

Our consultants work with you to deliver the outcomes you want by providing independent expert services and advice.

Nexus consultants have expertise in a range of audit and review services. Our consultants have extensive experience in the WA Public Sector oversight sector providing independent oversight, assurance and audit services to a range of government agencies. We have an unrivalled understanding of WA Public Sector integrity requirements and will work with your agency to systematically identify system performance and compliance strengths and weaknesses.

Nexus consultants have expertise in identifying improvements to the management of risks including through the provision of forensic accounting services. Our most highly qualified and experienced consultants have many success stories such as: identifying and mitigating accounting illegalities; redesigning risk practices resulting in resumed business profitability and cash flow; modernising State Government audit activities; successfully overhauling invoicing methods to identify savings; strategic reviews and plans based on forensic accounting results and identifying and preventing dispute, fraud, misconduct and standards breaches for agencies.

Skills, Experience and Services

Nexus is committed to working in partnership with organisations to deliver business outcomes important to our clients.

We have a demonstrated track record of successfully matching the skills of our consultants to specific assignments and have the capacity to manage major consultancies as well as shorter term, more focused projects.

Our experience and expertise in the provision of forensic auditing includes extensive:

- executive level responsibility in risk management, governance, compliance, assurance and audit;
- professional knowledge and associations;
- development and implementation of training for senior professionals; and
- numerous successful work assignments in the above areas of forensic accounting.

Industry Certifications and/or Qualifications Relevant to the Category

- Bachelor Economics, Australian National University
- Certified Internal Auditor (IIA)
- Certified Internal (Quality) Auditor, Quality Assurance Service Australia
- Fellow of the International Institute of Internal Auditors (IIA) (and former Councillor)
- Fellow of the Society of Certified Practising Accountants
- Registered Company Auditor, Australian Securities Commission (now ASIC), 1987 to 1993
- Graduate of the Australian Institute of Company Directors 2011

Industry Partners or Affiliations

- Institute of Public Administration Australia (WA)
- Past member of the IIA and RMIA Australian Committees on Professional Standards and Professional Development
- Member of the Risk Management Institution of Australasia (RMIA) (and former Councillor)

Ubique Partners



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About Us

Ubique Partners, was founded in 2017 by Luke Parsons and Toby Browne-Cooper as a multidisciplinary business advisory practice to service both public and private sector clients. Ubique Partners is a multidisciplinary advisory practice with a focus on strategy, commercial and financial advisory and assisting clients address their most complex challenges.

The core expertise of the Ubique Partners team lies advising public sector clients or, where the engagement is a transaction (e.g. a PPP), advising private sector clients on complex public sector projects.

We are drawn from 'top tier' professional advisory backgrounds spanning:

- Partner and director level positions in 'big 4' advisory firms
- Director level positions in leading investment banks, and
- Partner and senior associate level positions in top tier law firms.

Our team also brings relevant experience working in senior positions in the public sector and leading global corporate enterprises.

Ubique Partners team members and business partners have significant experience in innovation and managing disruptive change from emerging technologies. This is one of our key differentiators and innovation is a core objective of Ubique Partners.

Skills, Experience and Services

Ubique Partners team members have more than 10 years professional experience relevant to risk advisory services. They have extensive experience in identifying, categorising and managing risks in the context of major capital projects and transactions, business operations and legal / commercial agreements.

Ubique Partners team members offer the following risk advisory services: Risk assessment – qualitative and quantitative; Risk mapping; Risk control / mitigation and procedure development; Risk documentation – risk registers, actions plans and Management / Risk Committee reporting.

Key clients served include: ASX listed entities; Government agencies and Government Trading Authorities; Private sector clients; Financial institutions.

Industry Certifications and/or Qualifications Relevant to the Category

- Relevant Ubique Partner team members hold the Chartered Accountant certification from the Institute of Chartered Accountants. Team members are also certified by or affiliated with Senior Certified Professional, Australian Computer Society and the Information Systems Audit and Control Association.
- Ubique Partners team members hold Bachelor Degrees in Commerce and Law and many have completed their MBAs and the Company Directors course (AICD).
- Team members each bring more than 10 years of practical risk advisory experience in the context of capital project development and transactions and business operations.

Industry Partners or Affiliations

Ubique Partners and its specified personnel have the following affiliations:

- Members / Fellows of the Institute of Chartered Accountants
- Australian Institute of Company Directors
- Australian Computer Society
- IT Governance Institute
- Admitted to practice in the Supreme Court of Western Australia and other Australian jurisdictions.

Industry partners of Ubique Partners include:

VROC: artificial intelligence and advanced data analytics

Sentient Computing: advanced visualisation including virtual reality and augmented reality

Expertential.com: process mapping, quality assurance, training and workflow management for professional services, and;

Whytes Chartered Accountants: we enhance our organisational strength through our teaming with this 34-year-old Perth firm.

William Buck

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About Us

William Buck is a leading consulting firm with a proud 120 year history. While we are a firm of Chartered Accountants we have a growing reputation for delivering value driven audit and assurance engagements across the private and public sector.

We invest heavily in our people and have a team of public sector audit experts to ensure we provide the best possible services to our WA State and Local Government clients.

While we are part of a National network and we have the ability to draw upon the knowledge and experience of team members throughout Australasia, William Buck Consulting (WA) Pty Ltd, based in South Perth would be responsible for delivering services under CUA AFA2018. The Directors of William Buck Consulting (WA) Pty Ltd have established a governance framework that promotes our strategic objectives and our focus on “changing lives”. This allows our business units to share knowledge, skills and experience, maximising our capacity to respond to our client’s needs.

Risk Advisory Services

Our Audit and Assurance team provides integrated risk management advisory and internal and audit services to a variety of State and Local Government clients.

Skills, Experience and Services

Our Audit and Assurance team includes our highly experienced public sector internal audit and risk advisory specialists. We provide an independent risk management and consulting service aimed at providing advice and assistance to our clients. Our aim is to upskill and help our clients to improve their governance around risk management as well as to up-skill managers to ensure they identify and better manage their risks.

We provide a number of risk advisory services including the following:

Risk Management Reviews: We are able to assess our clients’ risk management framework by analysing key controls including establishment of roles and responsibilities for risk oversight, risk identification and the ongoing management of risk. We also analyse the structure and content of risk registers, the frequency and distribution of risk reporting and the identification and escalation of new and emerging risks. We provide our clients with comprehensive reporting including detailed areas for improvement which aim to help our clients manage risks in a more responsive, controlled and structured way. We have completed Risk Management Reviews for a number of State Government entities.

Facilitating Risk Workshops: We can also assist our clients by facilitating their risk assessment process. We do this by chairing risk workshops to promote the role and value of our clients' risk policy and risk management function as well as providing a framework for the identification and assessment of strategic and operational risks and the controls in place to manage them.

Risk Assessments: We can also assist our clients by completing their risk assessment process on their behalf. This includes the review of key documentation including strategic and operational plans, audit reports, key financial reporting and discussions with members of the Board, Executive and Senior Managers. We also identify and validate the controls in place to manage risk to determine how effective these are. This enables us to identify our clients' residual risks which helps inform decision making and resource allocation.

We recently completed a Fraud Risk Assessment for a large Metropolitan Council providing a comprehensive assessment of all fraud risks as well as the controls in place to manage them.

Industry Certifications and/or Qualifications Relevant to the Category

- Our Director Audit and Assurance is a Registered Company Auditor, has a Bachelor of Commerce and is a *Chartered Accountant (Institute of Chartered Accountants Australia and New Zealand) and Fellow Governance Institute of Australia.*
- Our two Internal Audit Managers are both Chartered Accountants (*Institute of Chartered Accountants Australia and New Zealand*) and are members of the *Institute of Internal Auditors.*
- Our External Audit Manager and Supervisors are Chartered Accountants (*Institute of Chartered Accountants Australia and New Zealand*).

Industry Partners or Affiliations

- Institute of Chartered Accountants Australia and New Zealand (ICAAANZ)
- Certified Practising Accountants (CPA) Australia
- Association of Chartered Certified Accountants
- Institute of Internal Auditors
- Governance Institute of Australia