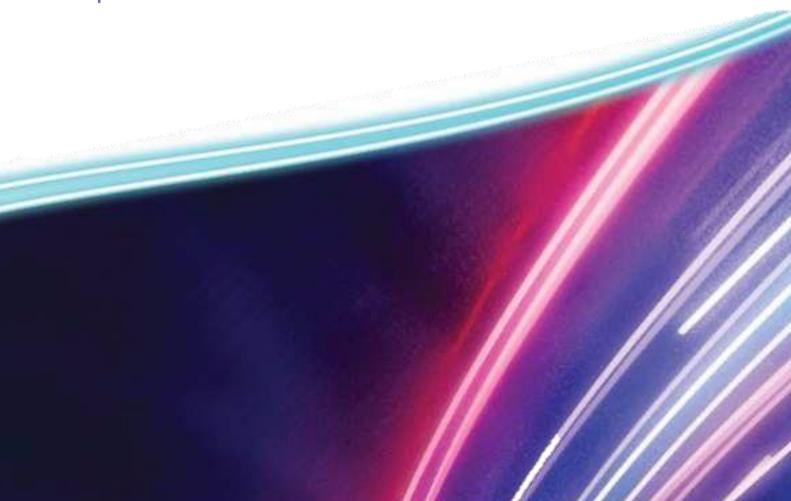


**Gorgon Project** 



# Lower Carbon Grants Program - Gorgon Fund

Guidelines V1.2 April 2024



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# 1. Purpose of this document

This document provides details on the 'Lower Carbon Grants Program – Gorgon Fund' (LCG) and outlines the background of the fund and opportunities in consideration for funding, the application process, and approach to assessing LCG criteria. This information is provided as a guide for prospective applicants to the fund.

## 2. Introduction

#### 2.1. Background

The Western Australian Government has made the commitment to cut WA's Government emissions by 80% below 2020 levels by the year 2030. This is in line with industries around the State, Australia, and the world to reverse the trend in carbon emissions, and reach net zero by 2050.

There is growing demand for greener and cleaner energy sources, products, technologies, and services that will accelerate decarbonisation in line with global commitments to reach net zero emissions<sup>1</sup>. Diversification and decarbonisation are key pillars of Western Australia's (WA) economic development strategies 'Diversify WA' and 'Future State' as the State undertakes its energy transition.

#### 2.2. Lower Carbon Grants Program – Gorgon Fund

Together with the GreenTech Hub, the creation of the LCG was announced in December 2023 during West Tech Fest. This forms part of the \$40 million Lower Carbon Grants Program and GreenTech Hub funding agreement between the WA Government and the Chevron-operated Gorgon Project, and is funded by the Gorgon Joint Venture.

The purpose of the fund is to provide matched funding for innovations at scale and projects that support decarbonisation in Western Australia (WA).

The LCG will fund large, transformational research and innovation activity across industries, technology platforms and regions that support practical and effective decarbonisation solutions.

Successful applicants to the Fund will be eligible for grant funding via Financial Assistance Agreements (FAA) administered by the State.

<sup>&</sup>lt;sup>1</sup> The <u>Climate Change Act 2022</u> came into effect in September 2022 and codifies Australia's 2030 and 2050 greenhouse gas emissions reductions targets under the <u>Paris Agreement</u>.

Unsuccessful applicants to the Fund that demonstrate a meritorious application may be eligible for investment facilitation support and/or referral opportunities to other appropriate State sources of support and/or funding. Investment facilitation can include providing access to services, programs and initiatives for businesses looking to establish and grow in WA.

#### 2.3. The Fund Guidelines

These LCG (Guidelines) outline the application process for the Fund.

The following should be noted:

- The Department of Jobs, Tourism, Science and Innovation (the Department) is responsible for administering the Fund on behalf of the State.
- The Department administers a standard form of Terms and Conditions that are incorporated within individual FAAs
- These Guidelines should be read in conjunction with any funding announcements or updates from the State.
- These Guidelines and any discussions with State officers are for information purposes only and do not constitute advice.
- These Guidelines for the Fund may be varied or revoked at any time.

#### 2.4. The Fund Objectives

- **Efficiency**: proposed projects should overcome acknowledged market failures and financing impediments and leverage funding from other sources.
- **Effectiveness**: funds should be allocated to Lower Carbon projects that result in potential emissions of CO2-e being substantially lower than the current average of the most relevant baseline for the activity.
- Additionality: proposed projects should achieve outcomes that would not otherwise occur without the LCG funding.
- Benefits: funds should deliver broader benefits to the Western Australian community through knowledge sharing, enhanced skills and capability, development of new business opportunities, economic diversification, or regional development.

## 3. Financial Assistance

#### 3.1. Financial Assistance Agreement

Successful applicants for funding under the LCG will receive funding in the form of a grant, or grants under a Funding Agreement.

Funding is available for projects meeting relevant criteria as set out in section 5 and 6 of this document and are consistent with the objectives of the LCG specified in section 2.4 of this document.

Following a successful application for funding to a Lower Carbon Project, the State and the successful applicant will enter into a Lower Carbon Project Funding Assistance Agreement (FAA).

Funds will not be provided to the successful applicant until the FAA has been executed and all conditions precedent have been met.

#### The FAA will provide:

- milestones for the payment of instalments of the grant and that such payments will
  only be made upon the achievement of the milestones and on the basis of actual
  eligible expenditure to a maximum of the approved funding amount;
- monitoring, evaluation and reporting of the Lower Carbon Project, including that the Lower Carbon Proponent is to provide evidence of project expenditure, milestone and financial reports to ensure that sufficient evidence of expenditure and completion of the milestone is provided;
- a right to audit the financial and other records of the Lower Carbon Proponent relating to the Lower Carbon Project; and
- a process by which a Lower Carbon Project Funding Agreement can be varied during the term of the funding agreement.

The amount of financial assistance made available to a successful applicant will be based on the successful applicant's proposal and proportionate to the State's assessment of value for money.

The State will determine financial assistance on a case-by-case basis up to a maximum of 50 percent of the total project cost with a minimum and maximum State contribution amount of AUD \$5 million and AUD \$15 million.

All successful applications involving financial assistance will be managed against key milestones within an FAA developed by the State.

#### 3.2. Funding

It is important to note that funding will only be provided for costs determined to be eligible, with all ineligible costs precluded from funding unless otherwise agreed in writing by the State and contracted under an FAA.

#### **Eligible costs**

Applicants must declare whether the project will leverage any Australian Government or other State Government funding in the business case and application form.

Western Australian Government grant funding may comprise a **maximum of 50 percent** of total project costs.

Eligible costs will be agreed in the FAA. Generally, eligible costs may include costs directly associated with the delivery of the project, for example:

- capital costs of equipment used for carbon abatement or sequestration.
- purchases of new equipment and technology
- essential non-equipment expenditure including design, professional services, transport, installation and commissioning, laboratory testing, field sampling, related to the attainment of a project objective or milestone
- project management costs and grant administration costs
- expenditure on capital/asset investment
- salaries/wages for new jobs created to undertake the specific project or activities for the period of the project
- expenditure incurred post the project commencement date and consistent with these guidelines

#### **Ineligible costs**

The following will generally be considered **ineligible** unless otherwise agreed by the State in writing and contracted under a FAA:

- contributions made 'in-kind'
- costs paid to related entities of the applicant or project Partner(s)
- expenditure incurred prior to the project commencement date
- expenditure for a project that occurs outside of Western Australia or activities undertaken outside of Western Australia
- project development costs such as early-stage feasibility studies, business case development or due diligence.
- costs for projects which have already, or will also receive funding, from the State.

This includes costs associated with a project / initiative that has received support from the Investment Attraction Fund

- statutory fees and charges and any costs associated with obtaining regulatory and/or development approvals
- ongoing costs for business as usual operations, such as, lease and hire purchase agreements, licensing fees or banking fees
- salaries, wages and other staffing costs that are not directly related to the implementation of the project and the expansion of capacity
- costs incurred after practical completion of the project
- costs that do not result in tangible economic return to the benefit of the State

# 4. How your application will be assessed

4.1. Key Dates

Item	Key dates
Program opens for applications	16/04/2024
Application closing date	17:00 11/06/2024 AWST
Applicants notified of outcome and successful projects announced	Anticipated Late 2024

#### 4.2. Assessment Procedure

An Advisory Committee, which will include representatives from the state, Chevron and third-party members will be formed and tasked with application assessment. Applications for funding will be evaluated by the Advisory Committee against the eligibility, and merit criteria. The Advisory Committee may seek advice from government agencies and independent technical experts, as required, to assist in determining which applications best meet the criteria and represent best value for public money.

Recommendations on the projects that are determined to best meet the criteria will be submitted to the Minister for Innovation and the Digital Economy for approval. The decision of the Minister on allocation of funds is final and at the full discretion of the Minister.

Applicants will be advised in writing of the outcome of their application at the conclusion of the assessment process. Applicants may request feedback from the Department.

The Department may seek confidential advice or review of applications from technical experts appointed to support the assessment process.

# 5. Eligibility Criteria

To be eligible for consideration, all documentation must be submitted in English. Further specific detail may be provided on the LCG website. To be eligible the applicant must provide evidence of the following:

- 1) At the time of entering into a Lower Carbon Project Funding Agreement, the applicant must be an Australian entity incorporated under the *Corporations Act 2001* (Cth) or the *Corporations (Aboriginal and Torres Strait Islander) Act 2006* (Cth) and have an existing ABN.
- 2) The officers and members of the management team of the applicant must be fit and proper persons by reference to:
  - No disgualification by law from performing their role
  - No conflict of interest or any conflict that may create a material risk that they will fail to properly perform in their management role
  - Their legal right to carry out and control the project under consideration.
- 3) The applicant must not be owned or subject to control by, any country or person that is subject to economic sanctions or trade restrictions imposed by the United Nations Security Council, Australian, United Kingdom, Japanese or United States government; or debarred or excluded or declared ineligible to participate in Australian or United Kingdom, Japanese or United States government contracts.
- 4) That the Lower Carbon Project will take place primarily in Western Australia or have some other substantive link to Western Australia.
- 5) The applicant is a legal and solvent entity, or partnered with a legal and solvent entity, with a proven trading history for the past 3 financial years as accepted in their domicile (as a minimum).
  - Financial statements for the last 3 financial years must include:
    - Income Statements
    - Statement of Financial Position
    - Statement of Cash Flows
    - Statement of Changes in Equity
    - Notes to the Financial Statements (where applicable)
    - Audited financial statements

- 6) The applicant can provide a business plan and evidence that supports management, technical and financial capability to undertake the current proposal. This may also include evidence of completed or current projects of similar or greater size.
- 7) Financial investment in the form of committed cash including no less than 50% of the total project cost. This can include financial investment from the applicant and/or its project Partner(s), the private sector, or financial institutions.
- 8) Market analysis detailing significant evidence that the project is economically sustainable without further investment by the State.
- 9) Commencement of operations, subject to regulatory approvals, within 2 years of the execution of a FAA with the State unless otherwise agreed.
- 10) Investment readiness which includes some or all of the following:
  - A business and/or project plan and risk register which clearly demonstrates project feasibility and delivery, including identified project risks and strategies to manage these risks.
  - Demonstrated capabilities and capacity to execute the proposed project.
  - Project viability including feasibility studies and due diligence.
  - Commercial viability and sustainability in the absence of any financial incentive.
  - Financial modelling and/or budgeting for the expected project lifecycle, including an assessment of project sustainability beyond the term of agreed financial assistance.
  - Identifies pathway(s) to finance the proposed project.
  - Governance arrangements in place to manage the project.
  - Commitment from the board or other senior levels of appropriate management to proceed further with project planning and implementation.
  - Market analysis that indicates sufficient demand for the proposed outputs, including advanced negotiations with customers in the form of purchase or offtake agreements.
  - Competitor analysis for the proposed project.
- 11) The project must be legal in Western Australia and comply with all applicable laws, including but not limited to, all relevant legislation, regulations, rules, orders, approvals and regulatory approvals.
- 12) Accept the State's FAA Terms and Conditions.

#### 5.1. Partnership Applications

Applicants may nominate a Partner(s) to support the delivery of proposals. Key considerations include:

- The applicant submitting the application becomes the Primary Applicant.
- Primary Applicants must meet the eligibility criteria to be considered for shortlisting.
- The Primary Applicant must have a legal structure that allows it to sign legal contracts and will take responsibility under the FAA for all activities and obligations.
- A Primary Applicant undertakes the role of the lead Partner in respect of delivery.
- There must be a signed contractual arrangement in place between the Primary Applicant and the project Partner(s) and this must be provided in the application process.
- Evidence of a contractual arrangement can consist of a contractual agreement or a Memorandum of Understanding that sets out details of the engagement and commitments, including financial contributions to be provided by the Primary Applicant and the Partner(s).
- If a Primary Applicant's application is successful, the Primary Applicant is responsible for entering into a FAA with the State and obligated to deliver on milestones and outcomes outlined in the FAA.

### 6. Merit Criteria

Assessment against the merit criteria provides an opportunity for the Advisory Committee to evaluate project significance to the State and determine the potential economic impact of the State's financial contribution.

#### 6.1. Application criteria

Applications need to demonstrate how the proposed project will meet the following:

<b>Mandatory Criteria</b>	%	Additional Information
1) Reduction of emissions	10	The direct and indirect greenhouse gas emissions reductions expected or with the potential to be achieved by the Lower Carbon Project, or potential scale up of the Lower Carbon Project.
2) Potential for wider adoption or application	10	The likelihood that the Lower Carbon Project will result in similar projects being implemented, multiplying the emissions reduction potential.

3) Public good	10	The extent to which knowledge and information about, and resulting from, the Lower Carbon Project will be made available to build capacity, support learning-by-doing and demonstrate feasibility of new technologies.
4) Benefits	10	The demonstration of other social, environmental or State specific benefits that may be associated with the application of the Lower Carbon Project.
5) Not common practice	10	The degree of innovation and/or the extension of the Lower Carbon Project beyond 'common practice' for the relevant sector or subsector and not something that is a requirement under Commonwealth or State law.
6) On-going commitment	10	The applicant's on-going commitment to the Lower Carbon Project and the role it would play in the applicant's strategy and objectives for reducing greenhouse gas emissions and improving energy management.
7) Organisational Capacity	10	The capability of the applicant to implement the project and the readiness to commence and fulfil on the delivery of the Lower Carbon Project
8) Business Case	10	The long term technical and financial viability of the Lower Carbon Project and the amount of funding sought, considering matters including the need for Government assistance, technical feasibility, and the demonstrated financial capacity.
9) Risk Management	10	Details of the risks associated with the Lower Carbon Project, how they will be managed, mitigated and monitored during the life of the lower Carbon Project to ensure that any change in their impact or likelihood will be reported to the State. Such risks include but are not limited to: compliance, technical, planning, delivery, counter-party and financial.
10) Overall Project	10	A summary argument, explaining why the proposed Lower Carbon Project should be supported with an LCG.

Each criterion will be assessed, leading to a maximum total score of 100.

This score will act as a guide for the Advisory Committee to be able to make their LCG recommendations.

# 7. Application Process

Applications for this 2024 funding round are invited via a competitive application process as outlined below.

#### 1. Prepare and submit your application

Review these application guidelines for the funding round carefully to determine eligibility and alignment with the program objectives and priorities. Prepare your application and any accompanying documents (such as the Financial Model) and submit via the Good Grants portal before the advertised deadline.



#### 2. Eligibility assessment

Assessment of applications will be undertaken by the Advisory Committee with advice from an independent advisory group where required. Applicants may be requested to provide further clarification information, if needed. Each application will be assessed against the Eligibility and Selection Criteria outlined in sections 5 and 6 of these funding guidelines.



# 3. Decision by the Minister for Innovation and the Digital Economy

Recommendations on the projects that are determined to best meet the criteria will be submitted to the Minister for Innovation and the Digital Economy for approval. The decision of the Minister on allocation of funding is final.



#### 4. Due Diligence and Notification of Outcome

Applicants will be notified of the outcome of their application by email and may request feedback from the Department on their application.

Due diligence checks will be undertaken on all successful applicants. Successful applicants will then be invited to enter into a funding agreement with the Department.

A single-stage online grant application process (Process) provides the pathway for industry to submit proposals seeking financial assistance and facilitation support.

#### 7.1. Detailed Application

The detailed online application requires comprehensive information relating to all aspects of the proposal, including but not limited to:

- a comprehensive business and/or project plan, and risk assessment which clearly demonstrates feasibility and delivery. Project risks and risk mitigation strategies should be identified and included.
- capital structure and financial viability such as financial modelling of the proposal over its lifecycle, over and above existing operations, with detailed supporting assumptions. This should also include an assessment of sustainability beyond the term of a financial assistance agreement.
- the last 3 years of audited financial statements including the income statement, statement of financial position, statement of cash flows, statement of changes in equity, and notes to the accounts.
- evidence of the business and corporate structure(s) including governance arrangements for the project
- evidence of commitments from other sources of funding for the proposed project
- market analysis including a description of the industry, current and future market potential and competition.
- competitor analysis, State economic impact analysis, supply chain analysis, and value chain analysis
- evidence of technology transfer and innovation.

#### 7.2. Detailed online application assessment

The detailed online application will be assessed against the merit criteria. Subject matter experts and/or technical advisors may be consulted to ensure detailed applications receive an informed assessment.

Independent due diligence involving a comprehensive financial and performance assessment may be conducted for detailed applications prior to any decision in regards to financial assistance.

Detailed applications will be assessed on their merits by the Advisory Committee, comprised of, the Chief Scientist of WA, a senior public servant, and senior industry representatives, including nominees from Chevron and the Gorgon Joint Venture. The Advisory Committee is responsible for making recommendations of financial assistance to the responsible Minister.

#### Applicants submitting detailed applications need to be aware of the following:

- Financial modelling should either be project specific or demonstrate the incremental costs and benefits over and above existing operations.
- Applicants have a defined period of time to submit the detailed application.
- It is recommended that applicants seek their own legal and/or financial and taxation advice before making an enquiry or proceeding with an application, or any formal offer of financial assistance.
- The State is not obliged to award assistance to an applicant, even if the applicant has been invited to make a detailed application.
- The responsible Minister or the Department may at any time amend or determine another process by which the Fund is to be allocated, or not to proceed at all.

#### 7.3. Notification of outcomes

Notification of outcomes throughout the Process will be sent to the registered email address provided in the online application submission.

All decisions are final and made at the State's absolute discretion.

Feedback may be provided upon request at the conclusion of the Process.

#### 7.4. Key dates and times

Applications will be open for an eight-week period beginning 16/04/2024. Applicants are encouraged to refer to the LCG website for funding announcements and updates from the State.

# 8. Ready to Apply?

If you are ready to apply, applicants should:

- 1. Read this Guidelines document carefully.
- 2. Look at the information on the LCG website.
- 3. Develop and submit the application online through the steps listed on the LCG website.

If necessary, please contact the Department of Jobs, Tourism, Science and Innovation at LCG@jtsi.wa.gov.au to discuss the process.

## 9. Further Information and Conditions

#### 9.1. Media

All media enquiries or public announcements relating to the Fund and its Process are coordinated and managed by the Department's Media team. Where possible, all media and communications about investments will be undertaken jointly with successful applicants. Recipients must:

- Seek and obtain the Department's approval before making public statements, or contacting or responding to the media, regarding successful or unsuccessful applications through the Fund.
- Provide the Department with at least 25 business days' notice of any proposed media event.
- Provide any proposed media or public statement to the Department for approval prior to its release as well as make any changes or amendments to the form, content or manner reasonably requested by the Department.

#### 9.2. Monitoring, evaluation, and reporting

Successful applicants will be required to cooperate with an evaluation that is undertaken during and/or after completion of the investment. Successful applicants will also be required to submit progress and completion reports, assess the progress of the investment against milestones, outputs and outcomes, and provide evidence of completion.

After completion, applicants must submit annual evaluation reports assessing success in meeting stated objectives, outputs and outcomes. Depending on the significance, these evaluation reports may be required for up to 3 years following completion of the funded investment or assistance.

Successful applicants may be required to contribute information on outcomes, including longer-term impacts beyond completion, as reasonably requested by the Department, for

use in program evaluation reviews and marketing or media materials. It is the responsibility of successful applicants to put in place adequate collection arrangements to capture appropriate data relating to outputs and outcomes.

These arrangements will assist the State to undertake a robust evaluation of the Fund.

# 9.3. Freedom of Information, Confidentiality and Disclosure of Information

Applicants must keep confidential any dealings with the State (including the Department) about their application, including any financial assistance offered, but may make disclosures to advisors who are under an obligation of confidence or if required by law.

The State reserves the right to publicly disclose the names of applicants, general information about investments and the assistance provided, and details about the anticipated economic outcomes and benefits to the State. The State may also disclose confidential information of, or provided by, the applicant:

- · if required to be disclosed by law
- to its advisors, consultants and contractors
- to any government agency.

Applicants are informed that the Department is subject to the *Freedom of Information Act* 1992 (WA), which provides a general right of access to records held by State agencies and local governments.

Applicants are advised that information pertaining to the receipt of State financial assistance will be tabled in the WA Parliament. This information could include the name of the recipient, the amount of the assistance, the name of the investment and a brief description thereof. This could result in requests for more details to be released publicly. Applicants should clearly identify all commercial-in-confidence material, noting that investment name, Primary Applicant's name, assistance amount, total cost and brief investment description will be published for all successful applicants.

Successful applicants should be aware that their organisation's name, investment name and amount of funding approved will appear on the Department's website and potentially in media releases.

The Department (including the State) reserves the right to discuss an application with a third party if it is deemed necessary to assist in assessing the detailed application.

State agencies are subject to legislative and administrative accountability and transparency requirements of the State, including disclosures to the WA Parliament and its Committees. As such, applicants should be aware that disclosure of information outside of State agencies may occur if the information is required or authorised to be disclosed by law.

#### 9.4. Privacy Statement

Information provided by applicants or collected by the Department in relation to an applicant or their detailed application may be used in the administration of the Fund and in the assessment of their detailed application.

The Department will store personal information collected in this Process, supporting documentation and any Fund administration, monitoring and evaluation activities in compliance with its obligations under the *Privacy Act 1988* (Cth) and the Australian Privacy Principles.

#### 9.5. Intellectual Property

Any intellectual property rights that may exist in the detailed application will remain the property of the applicant or the rightful owner of those intellectual property rights.

Any part of the detailed application considered to contain any intellectual property rights should be clearly identified by the applicant. The applicant grants to the Department (and will ensure that relevant third parties grant) a permanent, non-exclusive, irrevocable license to use, communicate and reproduce the intellectual property in the detailed application for the purpose of administering the Fund excluding commercial use.

#### 9.6. No Legal Relationship

These Guidelines, the submission of a detailed application, and any communications between the applicant and the State does not create any legal relationship, an offer to enter into any contract, or a process contract or any contract-like obligation between the applicant and the State.

Neither these Guidelines nor any other document shall create or give rise to any legal or equitable rights or obligations; such rights or obligations shall only come into being if and when a legally binding FAA is formally executed between the applicant and the State.

#### 9.7. Reservation of Rights

The Department (inclusive of the State) reserves the right at its absolute discretion to administer the Fund in such manner as it sees fit and may:

- change the scope, structure, purpose, processes, procedures, terms of participation in and timing of the Process including any aspect of these Guidelines such as the eligibility and review criteria
- request additional information or clarification from any applicant or conduct due diligence as outlined in these Guidelines
- take into account any information from its own and other sources (including State agencies or advisors)
- accept or reject any application, having regard to these Guidelines or any other matter which the State considers relevant, including limitations on the funds available under the Fund
- exercise a right, discretion, perform any act or omit to perform any act under these Guidelines
- terminate the participation of any applicant in the Process
- at its absolute discretion, exclude the relevant application from the assessment process at any time, if the applicant has made any false or misleading statements
- exclude an application from consideration where the Department believes it has been compiled using information improperly or unlawfully obtained from the department
- terminate or not proceed with the Fund in the manner outlined in these Guidelines, or at all.

#### 9.8. Exclusion of Liability

Applicants are wholly responsible for their own costs and expenses whatsoever incurred in preparing their application and in any way associated with developing, preparing and submitting the detailed application (irrespective of whether or not the application proceeds or is accepted or rejected).

Without limiting the above, the applicant releases the Department (including the State) and Chevron and members of the Advisory Committee from all liability in respect of the Process. The Department is not liable for any loss, expense, cost or damage incurred by the applicant in any way including, but not limited to:

- the preparation or submission of the application
- any exercise, or performance of, or failure of the Department to exercise or perform any rights, obligations, or duties under these Guidelines
- otherwise in connection with these Guidelines or the Process.

#### 9.9. Special Conditions

#### 1. Conflict of Interest

No Recipient or member of the Recipient group will in connection with the Agreement: (A) enter into any business arrangement with any director, employee or agent of the State or any of its Affiliates without the State's prior written consent or (B) give to or receive from any director, employee or agent of the State, or any of its affiliates anything that is more than a nominal cost or value. The Recipient shall ensure that members of the Recipient group comply with this requirement.

#### 2. Improper Influence

The Recipient agrees that no member of the Recipient may, directly or indirectly, offer, promise, or give anything of value to any official or immediate family member or close business associate of an official, of any government, public international organization, or political party (including any officer or employee of any department, agency, or instrumentality of any government or public organization), or to any political party or candidate for public office to influence an act or decision, or to gain any other advantage for State or Recipient arising out of the Agreement. The Recipient shall ensure group members of the Recipient comply with these requirements.

#### 3. Pre-Contract Communications and Reporting

The Recipient represents and warrants that no event has occurred prior to the Commencement Date, which if it had occurred after the Commencement Date, would be a violation of the Communications Plan between the State and the Recipient. The Recipient shall immediately notify the State of any breach the Communications Plan.

#### 4. Records and Inspection

During the Term and for 24 months from the end of the calendar year in which the Agreement is completed or terminated, (A) The Recipient shall ensure that group members of the Recipient retain all records related to the Agreement (or until expiry of the statute of limitations for taxes or import or export charges and other applicable laws) and (B) The State may inspect, at no cost to the State and at any time, all records to confirm compliance with the Agreement

#### 5. Trade Sanctions Compliance

The Recipient represents and warrants that neither it nor any member of the Recipient performing work in relation to the Project are (A) subject to economic sanctions or any trade restrictions imposed by the U.S. government or any other applicable jurisdiction, (B) listed on any U.S. restricted parties list, including the U.S. Treasury Department List of Specially Designated Nationals and Blocked Persons, the U.S. Commerce Department Denied Persons List or Entity List, or any similar restricted parties list under applicable law, or (C) debarred. excluded, or declared ineligible to participate in U.S. government contracts, grants, or other programs financed in whole or part by the U.S. government (collectively "Restricted Parties"). Upon learning that the Recipient or a member of the Recipient is a Restricted Party, the Recipient shall promptly, and in no event later than 90 days, notify the State. The Recipient shall promptly take such steps as may be necessary to remove such designation or debarment and, pending such removal, terminate performance of this Agreement by any such member of the Recipient group. Personnel of the Recipient performing work must not be citizens of countries subject to comprehensive U.S. trade sanctions without the State's prior written consent. The Recipient shall not release State information to any country that is the subject of economic sanctions or trade restrictions imposed by the U.S. government or any other applicable jurisdiction. No products supplied under this Agreement may be sourced from any Restricted Parties or originate from any sanctioned country. The State reserves the right to demand an end user certification from the Recipient.

# 10. Glossary

The following terms are defined for the purposes of this document.

Term	Definition
Applicant	The applicant/s identified in the proposal and detailed application process, including the Primary Applicant if applicable.
Application	An application (or relevant part of an application) to the Lower Carbon Grant including a proposal and supporting/additional information.
AWST	Australian Western Standard Time
Department	The Department of Jobs, Tourism, Science and Innovation (JTSI)
FAA	A legally binding Financial Assistance Agreement between JTSI and the Applicant that outlines non- negotiable terms, conditions, obligations and reporting requirements.
Fund	The Lower Carbon Grant Fund – Gorgon Fund
Guidelines	These guidelines for the Lower Carbon Grants Fund – Gorgon Fund.
Science and Innovation	The division within the Department responsible for supporting and promoting innovation for WA.
Minister	The Western Australian Government Minister for Innovation.
Partners	Other entities assisting in delivery, apart from the Primary Applicant named in the proposal or in the application.
Primary Applicant	The proposal lead who will be responsible for entering into a FAA with the State and for meeting the obligations with respect to milestones and outcomes contained in the FAA.
Process	The detailed application process for the Lower Carbon Grants Fund
Review criteria	The mandatory and desirable criteria of the Lower Carbon Grants Fund.
State	The State of Western Australia.
WA	Western Australia